

REQUEST FOR APPROVAL

To: Howard Levenson
Deputy Director, Materials Management and Local Assistance Division

From: Michelle Martin
Branch Chief, Financial Resources Management Branch

Request Date: April 1, 2016

Decision Subject: Eligibility, Scoring Criteria, and Evaluation Process for the Beverage Container Recycling Grant Program (California Beverage Container Recycling Fund, Fiscal Year 2016–17 and 2017–18)

Action By: April 15, 2016

Summary of Request

Staff seeks approval of the proposed eligibility, scoring criteria, and evaluation process for the Beverage Container Recycling Grant Program (Program) for fiscal years (FY) 2016–17 and 2017–18. The allocation is \$1,500,000 for each fiscal year, totaling \$3,000,000.

Staff proposes to use the same eligibility, scoring criteria, and evaluation process that was used in FYs 2014–15 and 2015–16, except as described under *Proposed Changes* and summarized below.

1. Implement a single phase application process.
2. Eliminate bonus points from the scoring criteria for applicants who did not receive grant funding from a previous cycle.

Recommendation

Staff recommends approval of the proposed eligibility, scoring criteria, and evaluation process for the Beverage Container Recycling Grant Program for FYs 2016–17 and 2017–18.

Deputy Director Action

On the basis of the information and analysis in this Request for Approval and the findings set out herein, I hereby approve the eligibility, scoring criteria, and evaluation process for the Beverage Container Recycling Grant Program for FYs 2016–17 and 2017–18.



Howard Levenson
Deputy Director

4/19/16

Dated

Attachment

Attachment 1 – Scoring Criteria, FY 2016–17 and 2017–18

Background and Analysis

Statutory Authority

The Department of Resources Recycling and Recovery (CalRecycle) receives an annual appropriation of up to \$1,500,000 from the California Beverage Container Recycling Fund to administer the California Beverage Container Recycling and Litter Reduction Act (Assembly Bill 2020, Margolin, Statutes of 1986, Chapter 1290) (Public Resources Code [PRC] sections 14581(a) (4) et seq.). PRC section 14581 allows for the awarding and funding of grants for activities that result in beverage container recycling and litter reduction. The Program meets the statutory requirements of PRC section 14581.

Program Background

The fundamental goal of the Program is to reach and maintain an 80 percent recycling rate for all California Refund Value beverage containers - aluminum, glass, plastic and bi-metal. Projects implemented by grantees will assist in reaching and maintaining this goal. The funding history of the Program since FY 2007–08 is summarized below.

Table 1. Funding History

Fiscal Year	Number of Awards	Amount of Awards
2015–16	8	\$1,500,000
2014–15	10	\$1,357,290
2013–14	11	\$1,500,000
2012–13	13	\$1,500,000
2011–12	27	\$ 740,714
2008–09	13	\$1,500,000
2007–08	9	\$1,500,000

Proposed Process and Eligibility

Staff will review all applications for completeness and eligibility. Complete applications will be evaluated to confirm project eligibility and determine if appropriate costs are directly related to the Program. All eligible applications will be reviewed and scored by a review panel of CalRecycle staff using the attached scoring criteria. All eligible applications will be ranked according to the total number of points received. Applicants must score a minimum of 70 points of a possible 115 points to be considered for funding. If oversubscribed, the highest scoring applicant will be the first to receive funding. The next highest scoring applicant will receive funding and so on until all available funds are exhausted. Partial award may be possible. The maximum award is \$250,000.

Eligible applicants include:

- California cities, counties, Joint Powers Authorities, Special Districts, and other local government entities.
- Public colleges/universities and public K-12 school districts.
- Non-profit organizations (except private schools K-12).
- Qualifying Indian Tribes.

CalRecycle is seeking projects that implement new or enhance existing programs to provide convenient beverage container recycling opportunities in various locations statewide.

Eligible projects include, but are not limited to:

- Multi-family residential dwellings (five units or more).
- Public colleges/universities, non-profit colleges/universities, and public K-12 school districts.
- Outreach and education related to beverage container recycling.
- Community events including, but not limited to, those sponsored by local jurisdictions.
- Parks/recreational areas.

Proposed Changes

Staff is proposing changes from the past grant cycle as indicated below. These changes are further reflected in Attachment 1 – Scoring Criteria.

1. Implement a Single Phase Application Process

Prior cycles required a two phase application process. By utilizing a single phase application process, concept applications will no longer be required. This will streamline both the application and review processes.

2. Elimination of Bonus Points for Applicants Who Did Not Receive Grant Funding from a Previous Cycle

In prior years, five bonus points were awarded to applicants who did not receive funding from a previous fiscal year. The bonus points were intended to encourage a more diverse eligible applicant pool. However, the applicant pool remained the same. There is no need for additional bonus points.

Tentative Timeline for FYs 2016–17 and 2017–18

Staff will post a Notice of Funds Available on CalRecycle’s website that includes the funding, eligibility requirements, deadlines, and other important information. Notices will be distributed through CalRecycle’s local assistance and market development staff to inform their local jurisdictions, the Grants Management System database, applicable listservs, outreach presentations, and newsletters.

Table 2. Tentative Timeline

FY 2016–17 and 2017–18	Activity
April 2016	Post Notice of Funds Available, application, and related instructions and documents on the web site
May/June 2016	Question and Answer Period – due date is approximately three weeks after the release of the application
July 2016	Applications due
August – October 2016	Conduct application evaluation/review process and determine funding for eligible applicants
December 2016 (FY 2016–17) August 2017 (FY 2017–18)	Grant Agreements distributed and executed
June 28, 2019 (FY 2016–17) June 30, 2020 (FY 2017–18)	Grant term ends

Please refer to CalRecycle’s website for more information.

<http://www.calrecycle.ca.gov/BevContainer/Grants/BevContainer/default.htm>

