



**Recycling Program  
Certification &  
Registration Branch  
Quarterly Report  
October 20, 2015**



# AGENDA

## 1. Industry Services Section Quarterly Statistics

- Convenience Zones
- Dealers
- Beverage Manufacturer/Distributor Applications
- Production Determination, Label Review
- Participant Management Unit functions
- Accounts Managed

## 2. Certification, Assistance & Review (CAR) Section Quarterly Statistics

- Currently Operational Participants
- Precertification Training & Exams
- CARS Educational Opportunities (Focus on Limited Reviews)

## 3. Questions

A collection of discarded plastic bottles and a metal can, illustrating recycling. The background is a collage of various plastic bottles and a metal can, with a white curved line separating the top image from the text below.

**Industry Services Section  
Quarterly Statistics  
Jul. 1 - Sep. 30, 2015**



# Convenience Zone Unit

## Zones Breakdown

	9/30/2014		9/30/2015	
Served	2,002	53%	1,960	51%
Exempt	1,201	32%	1,245	32%
Hold	89	2%	112	3%
Unserved	481	13%	527	14%
<b>Total</b>	<b>3,773</b>	<b>100%</b>	<b>3,844</b>	<b>100%</b>



# Convenience Zone Unit

Comparing zone status statistics (by percentage) for large California cities and statewide

September 30, 2014

	LA	SD	San Jose	SF	State-wide
Served	48%	51%	50%	18%	53%
Exempt	38%	28%	28%	34%	32%
Hold	4%	4%	2%	5%	2%
Unserved	10%	17%	20%	43%	13%
Total	100%	100%	100%	100%	100%

September 30, 2015

	LA	SD	San Jose	SF	State-wide
Served	51%	48%	48%	14%	51%
Exempt	39%	33%	29%	18%	32%
Hold	0%	2%	4%	0%	3%
Unserved	10%	17%	19%	68%	14%
Total	100%	100%	100%	100%	100%



# Convenience Zone - Dealers

A **Dealer** is a retail establishment that offers the sale of beverages in beverage containers to consumers (example: CVS, 7-11).

**Dealers in unserved zones**, per PRC § 14571.6, are required to submit to the Department an affidavit form, agreeing to either:

- Redeem all empty beverage container types; OR
- Pay to the Department \$100 per day until a recycling center is established in the zone, or the zone is granted exemption.



# Convenience Zone - Dealers

Affidavits received from dealers  
between 7/1/2015 – 9/30/2015:

- Statewide: 180
- San Francisco: 77



# Convenience Zone - Dealers

Notice of Noncompliance sent to dealers who failed to send in affidavits as required as of 9/30/2015:

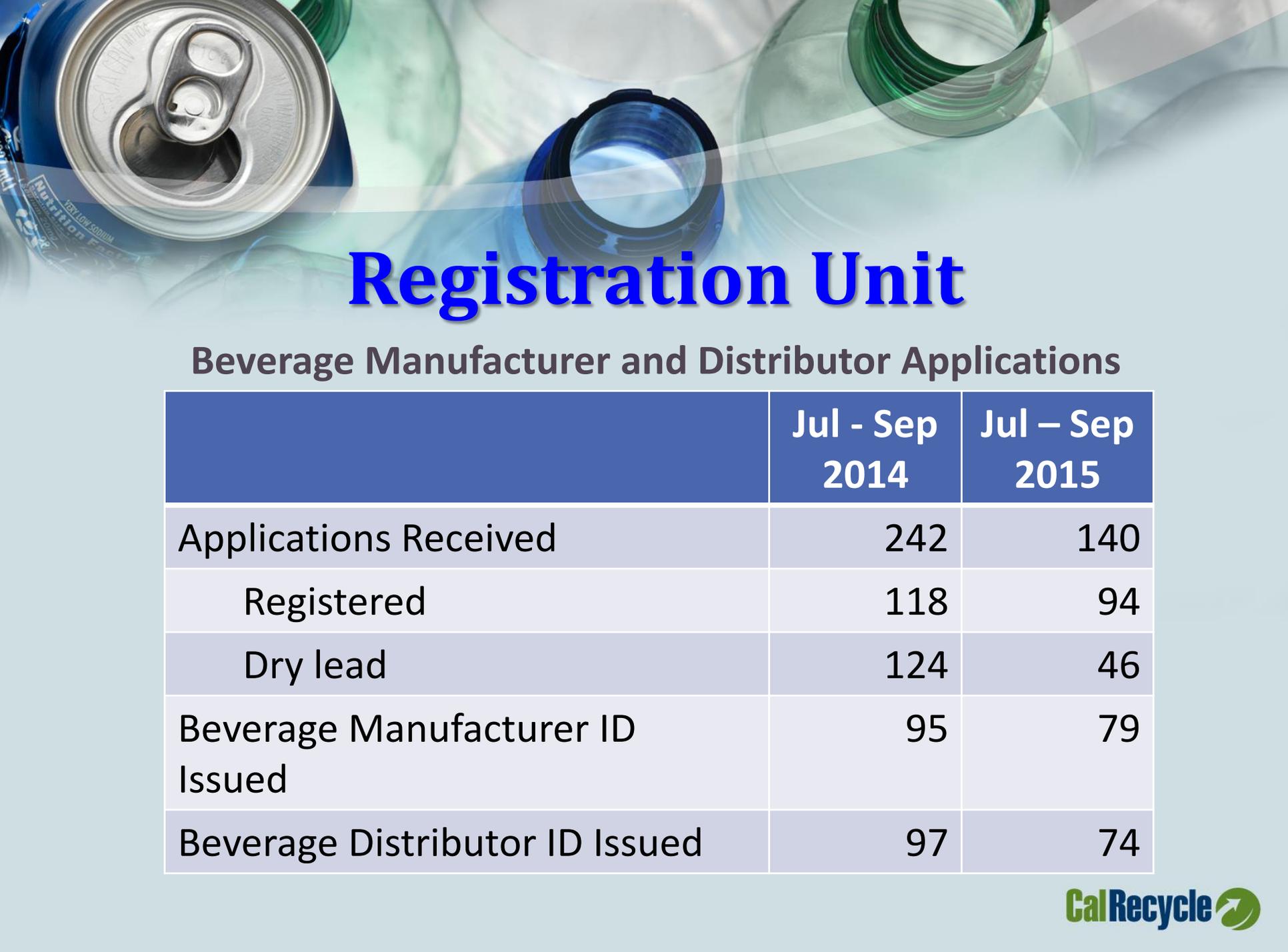
- Statewide: 945
- San Francisco: 243



## Convenience Zone Unit - Handling Fee Claims Paid

September 2014	
Total # of Claims Paid	1,089
Total Amount Paid	4,835,955

September 2015	
Total # of Claims Paid	1,062
Total Amount Paid	\$4,977,888



# Registration Unit

## Beverage Manufacturer and Distributor Applications

	Jul - Sep 2014	Jul – Sep 2015
Applications Received	242	140
Registered	118	94
Dry lead	124	46
Beverage Manufacturer ID Issued	95	79
Beverage Distributor ID Issued	97	74



# Registration Unit

## Production Determination and Label Review

	Jul - Sep 2014	Jul - Sep 2015
Product Determination	478	304
In	421	279
Out	57	25
Label Review	478	304
In compliance	430	287
Not in compliance	48	17



# Participant Management Unit

Work with registered Beverage Manufacturers and Distributors to ensure CRV & Processing Fees are reported accurately and paid timely.



# Participant Management Unit

## Accounts Managed

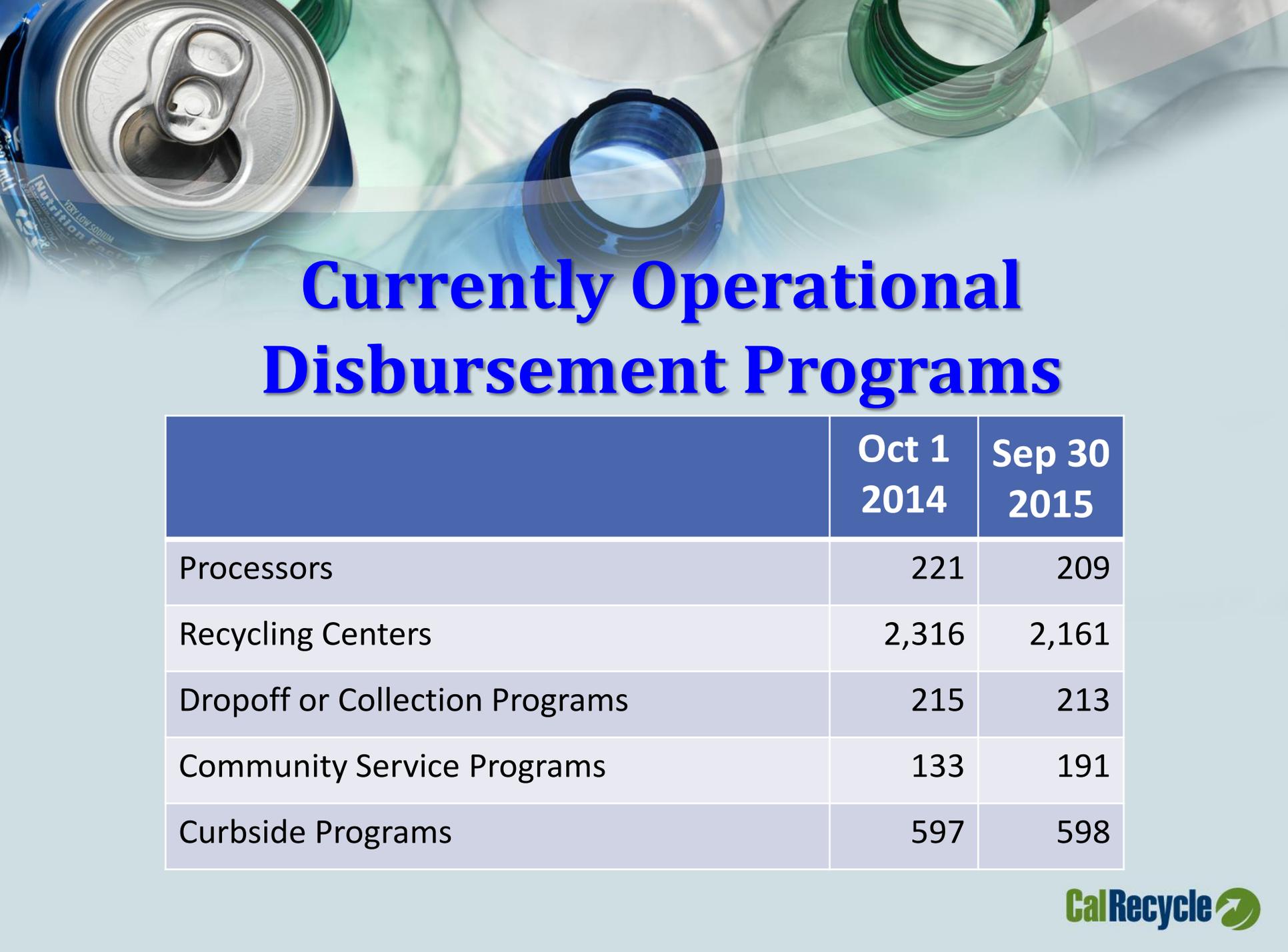
	Jul- Sept 2014	Jul- Sept 2015
Beverage Distributors	1,796	1,809
Beverage Manufacturers	1,637	1,680
Total	3,433	3,489

**Each Analyst Manages Approximately 436 Accounts**

A collage of various plastic bottles and a metal can, representing recycling materials. The background features a mix of clear, blue, and green plastic bottles and a blue metal can with a pull-tab lid. The text is overlaid on a white and light blue curved banner.

# **Certification, Assistance & Review Section**

## **Quarterly Statistics Jul 1 – Sept 30, 2015**



# Currently Operational Disbursement Programs

	Oct 1 2014	Sep 30 2015
Processors	221	209
Recycling Centers	2,316	2,161
Dropoff or Collection Programs	215	213
Community Service Programs	133	191
Curbside Programs	597	598



# Precertification Training & Exams

TRAININGS					
	4th Qtr 2014	1st Qtr 2015	2nd Qtr 2015	3rd Qtr 2015	TOTAL
Classes	12	11	12	11	46
Attendees	159	176	167	156	658

EXAMS					
	4th Qtr	1st Qtr	2nd Qtr	3rd Qtr	TOTAL
Classes	12	11	12	11	46
Attendees	161	181	170	159	671

**Note:** Precertification Training & Exams was implemented 1/1/2014.  
[www.CalRecycle.ca.gov/BevContainer/CertOperator/PreCertTrain.htm](http://www.CalRecycle.ca.gov/BevContainer/CertOperator/PreCertTrain.htm)



# What Educational Opportunities does CARS Offer?

The CAR Section provides many training opportunities:

- Precertification
- Recycler Recordkeeping and Operating Workshop
- Certification interviews for dropoff or collection and community service programs
- Limited Reviews



## **What is a Limited Review?**

A limited review is performed by auditors in the Technical Assistance and Review Unit (TAR) for new-to-the-program recycling center operators.

**“An ounce of prevention is worth a pound of cure.”**

**- Benjamin Franklin**



# Limited Review Goals

- For RC operators to reach a high level of compliance with CalRecycle operating and recordkeeping requirements
  - TAR auditors provide clear expectations to afford recycling center operators the foundation for successful compliance in the California Beverage Container Recycling Program
- The primary focus is on proper record keeping
- Limited reviews schedule
  - Months 0-2** – Case Assignment / Initial Contact
  - Months 2-4** – Limited Review (Field Visit)
  - Months 3-6** – Subsequent Review (Contingent Field Visit)
  - Month 12** – Review
- Strive to ensure each recycling center operator has a review and or informational visit with a Division of Recycling auditor every five years

A collage of recycled plastic bottles and a metal can lid. The background features several clear plastic bottles and a blue metal can lid with a pull tab. The text is overlaid on this background.

## For More Information on Limited Reviews

Contact the **Technical Assistance and Review Unit (TAR)**

*TAR provides a direct, centralized training contact for newly certified Recycling Center (RC) operators.*

Toll-free: 1-800-RECYCLE

Direct: (916) 323-3008