

REQUEST FOR APPROVAL

To: Mark De Bie, Deputy Director
Waste Permitting, Compliance, and Mitigation Division

From: Scott Walker, Manager
Engineering Support Branch

Request Date: August 7, 2012

Decision Subject: Scoring Criteria for the Solid Waste Disposal and Codisposal Site Cleanup Grant Programs (Solid Waste Disposal Trust, FYs 2012/13 and 2013/14)

Action By: August 21, 2012

Summary of Request: This memo requests Deputy Director Approval of revised scoring criteria for the Solid Waste Disposal and Codisposal Site Cleanup Grant Programs (Program). The current criteria were approved in July 2010 and were effective until June 30, 2012. The proposed revisions to the scoring criteria are, per the Grants Working Group recommendations, to remove the Environmentally Preferable Purchasing and Practices (EPPP) policy from grants scoring criterion and to make the EPPP a grant eligibility requirement, to increase the points awarded for the submittal of a work plan from 10 points to 15 points, to increase the points awarded for the submittal of a budget from 10 points to 15 points, and to increase the points awarded for not having received funding for the current year and/or prior two fiscal years from 5 points to 10 points. A copy of the proposed revised scoring criteria is included as Attachment 1.

Recommendation:
Staff recommends approval of the proposed scoring criteria for the Program for FYs 2012/13 and 2013/14 (Attachment 1).

Deputy Director Action:
On the basis of the information and analysis in this Request for Approval and the findings set out above, I hereby approve the revised scoring criteria for fiscal years 2012/13 and 2013/14.

Dated: 8/8/12



Mark De Bie
Deputy Director

Background and Analysis:

Statutory Authority

The Department of Resources Recycling and Recovery (CalRecycle) is authorized to expend funds from the Solid Waste Cleanup Trust Fund directly for cleanup, to provide loans to responsible parties who demonstrate the ability to repay, to provide matching grants to public entities for site cleanups, and to provide full grants to public entities for the abatement of illegal disposal sites. Statutory authority for the Program is contained in Public Resources Code (PRC) Sections 48020 et seq. and Assembly Bill 2136 (Eastin, Chapter 655, Statutes of 1993) created the Program.

Program Background

CalRecycle implements this Program to clean up solid waste disposal sites and solid waste at codisposal sites where the responsible party either cannot be identified or is unable or unwilling to pay for the timely remediation and where the cleanup is needed to protect public health and safety or the environment.

CalRecycle has previously adopted policies regarding the prioritization of grant applications for competitive grant programs. In addition, the statutes and regulations governing the implementation of the Program contain specific requirements regarding the prioritization of sites. The proposed scoring criteria meet the statutory, regulatory, and policy requirements of CalRecycle.

Proposed Process and Eligibility

Program outreach activities for fiscal years 2012/13 and 2013/14 tentatively include a Notice of Funds Available (NOFA), updated online grant application, and an updated web site. The application will include directions regarding the procedures for the submission of questions and answers, which will periodically be posted on the Program's web site. As part of CalRecycle's continued effort to streamline existing grant processes and procedure, the previous paper-based application will now be submitted online. Supporting documentation will now be scanned and uploaded into the application system.

A copy of the proposed revised scoring criteria is included as Attachment 1. The proposed changes to the scoring criteria are, per the Grants Working Group recommendations:

1. to remove EPPP policy from grants scoring criteria and to make the EPPP policy a grant eligibility requirement;
2. to increase the points awarded for the submittal of a work plan from 10 points to 15 points;
3. to increase the points awarded for the submittal of a budget from 10 points to 15 points; and
4. to increase the points awarded for not having received funding for the current year and/or prior 2 fiscal years from 5 points to 10 points.

Tentative Timeline for FY 2012/13

Target Date	Activity
August 2012	Post Notice of Funds Available, Application, and related instructions and documents on the web site
September 17, 2012 December 17, 2012 March 14, 2013	Applications due
November 2012 February 2013 May 2013	Grant awards presented at CalRecycle Public Meeting
June 30, 2015	Grant term ends

The FY 2013/14 grant cycle timeline will be similar to the tentative FY 2012/13 grant cycle. Please refer to CalRecycle's website for more information.

Attachment 1

SOLID WASTE DISPOSAL AND CODISPOSAL SITE CLEANUP GRANT PROGRAM GRANT SCORING CRITERIA FOR FISCAL YEARS 2012/13 and 2013/14	
Applicants must score 70 Percent (i.e., 70 points out of 100 points) to be considered for funding.	
Points	Description
GENERAL CRITERIA	
40	<p>NEED: Grant proposal clearly and convincingly describes and demonstrates why the project should be funded (e.g., benefits, end products, etc.)</p> <p>SUBSTANTIATION OF THREAT TO PUBLIC HEALTH AND SAFETY AND/OR THE ENVIRONMENT. Include documentation such as: site investigation reports and regulatory inspections, investigations, and enforcement orders. (20 points maximum)</p> <p>SUBSTANTIATION OF NEED FOR GRANT FUNDS. If the Applicant is the owner or responsible party, include Applicant's financial statements, independent audits, statement of facts, etc. If the owner or responsible party is unable or unwilling to pay for timely and proper remediation, submit evidence of inability or unwillingness. If the site is an abandoned site (i.e., no owner or responsible party) submit evidence of abandonment. List the availability of other appropriate federal or state enforcement and/or cleanup programs to remediate the site. (20 points maximum)</p>
5	<p>GOALS AND OBJECTIVES: Describe what you wish to accomplish by completing this grant project. Specify measurable target(s) that must be met on the way to attaining your goal.</p> <p>List and explain the major goals and objectives of the proposed project. Examples include, but are not limited to: improvements to public health and safety and/or the environment; recycling and/or reuse of recovered materials; restoration of land to beneficial uses; implementation of methods to prevent or minimize recurrence of problems corrected by this project; and cost recovery.</p>
15	<p>WORK PLAN: Specific list of all grant eligible procedures or tasks used to complete your project.</p> <p>Scope of work includes major work items, field supervision, health and safety requirements, testing, bonds, permits, etc. Eligible and ineligible tasks must be itemized separately.</p>
5	<p>EVALUATION: Measures the outcome of the applicant's project.</p> <p>Include as a minimum: proposed personnel qualifications and responsibilities and methods and standards for inspecting, testing, and evaluating the work.</p>

Attachment 1

15	<p>BUDGET: Cost (dollar figure) associated with activities necessary to complete the project.</p> <p>Include total anticipated project costs broken down by eligible and ineligible project costs. List sources of funds required to complete the project. Local funds identified must be approved in the local government resolution accompanying the application. Show value of Applicant's initiatives to achieve project cost savings through volunteer labor/equipment, in-kind services, reduced or waived tipping fees, and other savings.</p>
5	<p>APPLICATION COMPLETENESS, LETTERS OF SUPPORT, EXPERIENCE, ETC.</p> <p>Applicant is encouraged to include letters, endorsements, and reports from local constituents and regulatory/advisory agencies in support of the project.</p>
5	<p>USE OF CALIFORNIA CONSERVATION CORPS</p> <p>Use of the California Conservation Corps (CCC) in performing a portion of the grant activities, if appropriate. To receive full points, Applicant must submit the following documents with its Application: 1) documentation that the CCC can and is available to participate in the grant project; and 2) documentation that Applicant's signature authority or his/her designee has verified that there are no contracting requirements and/or regulations that would preclude the use of the CCC.</p>
90	<p>SUBTOTAL</p>
PROGRAM CRITERIA	
10	<p>PRIOR PROGRAM GRANT FUNDING</p> <p>Applicant has not been awarded a grant under the Solid Waste Disposal and Codisposal Site Cleanup Program during the current and/or previous two fiscal years.</p>
100	<p>TOTAL</p>