



**Recycling Program
Enforcement Branch –
Quarterly Update**

January 27, 2015



AGENDA

- Objectives
- Probationary Reviews
- Inspections
- Investigations
- Accusations
- DOJ Investigations



OBJECTIVES

- To provide a level of transparency for compliance assurance & enforcement activities
- To provide performance metrics associated with major functional areas
- To highlight successful compliance assurance and/or enforcement activities by CalRecycle and our interagency partners



PROBATIONARY REVIEWS

STATISTICS	July - September 2013	July - September 2014	% Change
DORiis Auto-Generated Cases	181	245	35%
Recycling Centers Visited	54	63	17%
Passed	14	19	36%
Extended	38	49	29%
Recommendation for Revocation	2	0	(100%)
Decertified during/result of visit	0	1	100%



PROBATIONARY REVIEWS

STATISTICS	July – September 2013	July – September 2014	% Change
Recycling Centers Not Visited	127	182	43%
Passed	12	21	75%
Extended	78	114	46%
Recommendation for Revocation	0	0	0%
Not RCs	18	30	68%
Decertified / Abandoned	11	8	(27%)
Ongoing Investigations	8	9	13%



PROBATIONARY REVIEWS

STATISTICS	July – September 2013	July – September 2014	% Change
Total Assessments	\$200,252	\$600,727	200%
Restitution	\$191,902	\$587,877	206%
Civil Penalties	\$8,350	\$19,850	137%



INSPECTIONS

STATISTICS	July - September 2013	July - September 2014	% Change
Recycling Center Inspections	1,106	1,074	(3%)
Notices of Non-Compliance Issued	317	360	14%
Notices of Violation Issued	124	167	35%
Civil Penalty Assessments	\$20,500	\$34,850	70%



INSPECTIONS

STATISTICS	July - September 2013	July - September 2014	% Change
Dealer Inspections	24	85	254%
Notices of Non-Compliance Issued	9	24	167%
Notices of Violation Issued	0	24	2400%
Civil Penalty Assessments	\$0	\$3,800	3800%
Complaints Resolved	93	108	16%



INVESTIGATIONS

STATISTICS	July - September 2013	July - September 2014	% Change
Investigation Cases Initiated	25	110	340%
Investigation Cases Closed	26	129	396%
Application Denial Recommendations	3	1	(67%)
Revocation Recommendations	12	2	(83%)
DOJ Referrals	3	12	300%
Forensic Document Reviews	14	29	107%



DOJ INVESTIGATIONS

July - September 2014	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	0	1	5
Southern Recycling Team	2	2	0
Total	2	3	5



REGULATORY UPDATES

- **Segregated Only / Recordkeeping & Reporting Requirements**
 - DOR in process of compiling regulatory packages for internal CalRecycle / CalEPA review



ACCUSATIONS

Unresolved accusations as of December 31, 2014, filed before CY 2013:

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81



ACCUSATIONS

**Unresolved accusations as of December 31, 2014 ~
filed after CY 2013:**

Participant Name	Date Filed	Amount Assessed	Amended Filed	Amended Assessment
Daws Recycling Center	01/10/13	\$ 82,487.73		
J & S Recycling	02/07/13	\$ 206,258.61		
USA Recycling	04/04/13	\$ 790,775.66	04/24/13	\$ 790,786.56
Alco Iron & Metal	07/19/13	\$ 5,378,440.62		\$ 7,422,339.97
Capital City Recycling	01/31/14	\$ 311,446.99		
Eagle Recycling	07/29/14	\$ 2,749,279.41		
Hip Hop Beverage Corporation	11/13/14	\$255,000.00		
	TOTALS:	\$ 9,773,689.02		\$ 11,817,599.27

* If no amendment, amount assessed on original filing is included in the total.



ACCUSATIONS

Accusations filed September 2014 – December 2014:

One accusation was filed during this period against Hip Hop Beverage Corporation seeking payment of \$255,000.00.



QUESTIONS ?