



**Recycling Program
Enforcement Branch –
Quarterly Statistics**

October 18, 2016



PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
DORiis Auto-Generated Cases	199	197	(1%)
Recycling Centers Visited:	60	65	8%
• Passed	11	17	55%
• Extended	47	47	0%
• Recommendation for Revocation	2	0	100%
• Decertified during/result of visit	0	1	100%



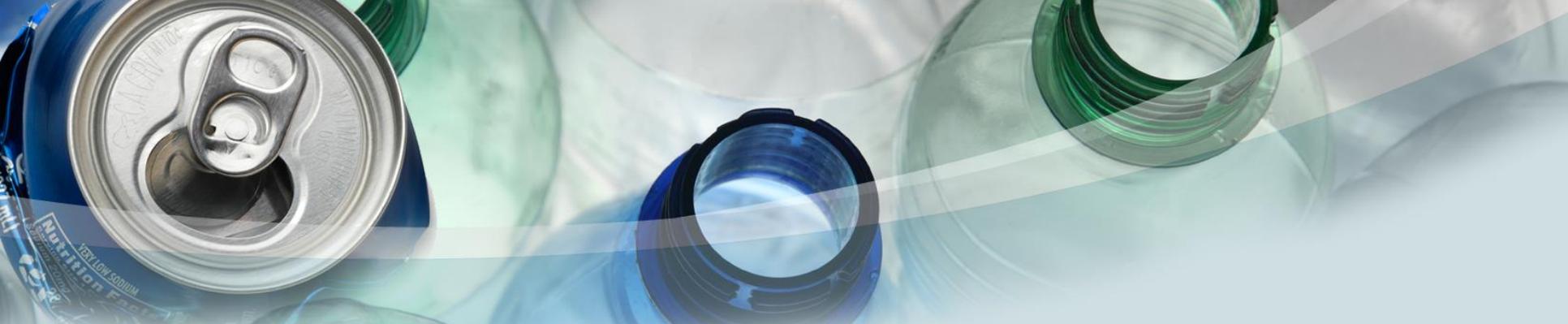
PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Recycling Centers Not Visited:	139	132	(5%)
• Passed	30	17	(43%)
• Extended	95	97	2%
• Recommendation for Revocation	0	0	0%
• Not RCs	8	9	13%
• Decertified / Abandoned	6	9	50%
• Ongoing Investigations	0	0	0%



PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Total Assessments	\$7,602.04	\$36,486.73	380%
Restitution	\$1,252.04	\$6,736.73	438%
Civil Penalties	\$6,350.00	\$29,750.00	369%



HANDLING FEE REVIEWS

STATISTICS	Apr – Jun 2016
Handling Fee Applications Reviewed	24
Handling Fee Applications Denied	11
Handling Fee Applications Released	13

Handling Fees Claimed	\$258,889.46
Handling Fees Denied	\$98,202.61
Handling Fees Released	\$160,686.85



HANDLING FEE REVIEWS

STATISTICS	Apr – Jun 2016
Total Penalty Assessments	\$1,850.00
Restitution	\$0.00
Civil Penalties	\$1,850.00



INSPECTIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Recycling Center Inspections	1271	821	(35%)
Notices of Non-Compliance Issued	345	210	(39%)
Notices of Violation Issued	168	139	(17%)
Civil Penalty Assessments	\$32,500	\$38,600	19%



INSPECTIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Dealer Inspections	17	286	1,582%
Notices of Non-Compliance Issued	5	158	3,060%
Notices of Violation Issued	7	7	0%
Civil Penalty Assessments	\$1,000	\$3,500	250%
Complaints Resolved	22	41	86%



INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Investigations Initiated	19	16	(16%)
Investigations Closed	18	43	139%
Fraud Detection Reviews Initiated	3	45	1,400%
Fraud Detection Reviews Closed	17	15	(12%)



INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Claimed Volume Reports Initiated	47	21	(55%)
Claimed Volume Reports Closed	51	37	(27%)
Recycler Load Inspection Initiated	24	10	(58%)
Recycler Load Inspection Closed	15	45	200%



INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Call Center Cases Initiated	28	22	(21%)
Call Center Cases Closed	27	23	(15%)
Load Inspection/Reviews Conducted:	1,488	695	(53%)
• Denied	10	2	(80%)
• Reduced	8	6	(25%)
Participants Placed on Prepayment Control Status	5	8	60%



INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Forensic Reviews Completed	22	15	(32%)
Notices of Noncompliance Issued	31	31	0%
Notices of Violation Issued	14	12	(14%)
Recommendation to Revoke / Terminate Certification	2	0	(100%)
Recommendation to Deny Certification Applications	4	0	(100%)
DOJ Referrals	9	1	(89%)



IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
IMRs Received	1,339	1,747	30%
- Semi-Trucks	70%	82%	17%
- Baled	51%	52%	2%
- Single-Stream	43%	49%	14%



IMPORTED MATERIALS

IMR STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Nevada	28%	36%	29%
Arizona	27%	19%	(30%)
Utah	16%	17%	6%
Oregon	10%	9%	(10%)



PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Investigations Initiated	N/A	3	--
Investigations Closed	N/A	4	--
Fraud Detection Reviews Initiated	N/A	0	--
Fraud Detection Reviews Closed	N/A	0	--



PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Claimed Volume Reports Initiated	N/A	1	--
Claimed Volume Reports Closed	N/A	0	--
Recycler Load Inspection Initiated	N/A	1	--
Recycler Load Inspection Closed	N/A	0	--



PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Load Inspection/Reviews Conducted:	N/A	973	--
• Denied	N/A	4	--
• Reduced	N/A	4	--



DOJ INVESTIGATIONS

April – June 2016	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	0	0	5
Southern Recycling Team	1	0	2
Totals:	1	0	7



DOJ INVESTIGATIONS

April – June 2016	In-State Surveillance	Out-of-State Surveillance	Contacts	Search Warrants
Northern Recycling Team	8	15	12	4
Southern Recycling Team	25	6	6	11
Totals:	33	21	18	15



ACCUSATIONS

Accusations filed & served July 1 – September 30, 2016:

No new accusations were filed & served during this time period.



ACCUSATIONS

**Accusations resolved as of September 30, 2016 ~ filed
& served after CY 2013:**

Participant Name	Date Filed	Amount Assessed	Date Finalized	Resolution
Valero Recycling	09/30/15	\$655,400.00	07/25/16	\$655,400.00*
Dougherty's Recycling	06/02/15	\$181,500.00	07/02/16	\$181,500.00
American Green Recycling	08/26/15	\$43,000.00	07/15/16	\$43,000.00
	TOTAL:	\$879,900.00	TOTAL:	\$879,900.00

*Conditional waiver in place.



ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~
filed & served after CY 2013:**

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Enviro Recycling	08/24/15	\$1,749,881.00
PNL Recycling	08/26/15	\$2,065,289.00



ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~
filed & served after CY 2013 (con't):**

Participant Name	Date Filed	Amount Assessed
Meija'a Recycling	10/26/15	\$517,066.72
Jayden Recycling	11/17/15	\$713,228.12
USA Waste of California	02/16/16	\$3,953,330.26
California Waste Solutions	04/28/16	\$12,433,551.00
Recycling Service Alliance	05/31/16	\$1,420,005.38
	TOTAL:	\$26,780,176.06



ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~
filed & served before CY 2013:**

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81