



**Recycling Program  
Enforcement Branch –  
Quarterly Statistics**

July 19, 2016



# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
DORiis Auto-Generated Cases	248	207	(17%)
Recycling Centers Visited:	65	67	3%
• Passed	15	25	67%
• Extended	48	41	(15%)
• Recommendation for Revocation	0	0	--
• Decertified during/result of visit	2	1	(50%)



# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
<b>Recycling Centers Not Visited:</b>	<b>183</b>	<b>140</b>	<b>(23%)</b>
• Passed	59	17	(71%)
• Extended	107	109	2
• Recommendation for Revocation	0	0	--
• Not RCs	10	12	20%
• Decertified / Abandoned	5	1	(80%)
• Ongoing Investigations	2	1	(50%)



# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Total Assessments	\$10,365.83	\$137,936.47	1,231%
Restitution	\$2,065.83	\$6,686.47	224%
Civil Penalties	\$8,300.00	\$131,250.00	1,481%



# HANDLING FEE REVIEWS

<b>STATISTICS</b>	<b>Jan – Mar 2016</b>
<b>Handling Fee Applications Reviewed</b>	<b>45</b>
<b>Handling Fee Applications Denied</b>	<b>40</b>
<b>Handling Fee Applications Released</b>	<b>5</b>

<b>Handling Fees Claimed</b>	<b>\$292,325.90</b>
<b>Handling Fees Denied</b>	<b>\$244,900.15</b>
<b>Handling Fees Released</b>	<b>\$47,425.75</b>



# HANDLING FEE REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2016	% Change
Total Assessments	N/A	\$3,777.92	--
Restitution	N/A	\$127.92	--
Civil Penalties	N/A	\$3,650.00	--



# INSPECTIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Recycling Center Inspections	924	1,070	16%
Notices of Non-Compliance Issued	243	262	8%
Notices of Violation Issued	126	193	53%
Civil Penalty Assessments	\$24,400	\$56,700	132%



# INSPECTIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Dealer Inspections	29	47	62%
Notices of Non-Compliance Issued	3	26	767%
Notices of Violation Issued	6	4	(33%)
Civil Penalty Assessments	\$2,000	\$500	(75%)
Complaints Resolved	83	50	(40%)



# INVESTIGATIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Investigations Initiated	19	24	26%
Investigations Closed	27	11	(59%)
Fraud Detection Reviews Initiated	12	21	75%
Fraud Detection Reviews Closed	19	6	(68%)



# INVESTIGATIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Claimed Volume Reports Initiated	24	19	(21%)
Claimed Volume Reports Closed	43	11	(74%)
Recycler Load Inspection Initiated	50	25	(50%)
Recycler Load Inspection Closed	54	10	(81%)



# INVESTIGATIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Call Center Cases Initiated	20	24	20%
Call Center Cases Closed	18	15	(17%)
Load Inspection/Reviews Conducted:	2,050	1,099	(46%)
• Denied	9	18	100%
• Reduced	16	14	(13%)
Participants Placed on Prepayment Control Status	8	5	(38%)



# INVESTIGATIONS

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Forensic Reviews Completed	17	23	35%
Notices of Noncompliance Issued	54	73	35%
Notices of Violation Issued	32	35	9%
Recommendation to Revoke / Terminate Certification	1	0	(100%)
Recommendation to Deny Certification Applications	1	2	100%
DOJ Referrals	23	8	(65%)



# IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
IMRs Received	1,285	1,949	52%
- Semi-Trucks	67%	87%	30%
- Baled	59%	60%	2%
- Single-Stream	47%	60%	28%



# IMPORTED MATERIALS

IMR STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Nevada	37%	34%	(8%)
Utah	16%	28%	75%
Arizona	29%	16%	(45%)
Oregon	3%	9%	200%



# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Investigations Initiated	N/A	18	--
Investigations Closed	N/A	5	--
Fraud Detection Reviews Initiated	N/A	0	--
Fraud Detection Reviews Closed	N/A	0	--



# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Claimed Volume Reports Initiated	N/A	1	--
Claimed Volume Reports Closed	N/A	0	--
Recycler Load Inspection Initiated	N/A	1	--
Recycler Load Inspection Closed	N/A	3	--



# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2015	Jan – Mar 2016	% Change
Load Inspection/Reviews Conducted:	N/A	394	--
• Denied	N/A	6	--
• Reduced	N/A	1	--



# DOJ INVESTIGATIONS

January – March 2016	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	0	0	0
Southern Recycling Team	4	2	2
<b>Totals:</b>	4	2	2



# ACCUSATIONS

**Accusations filed & served April 1 – June 30, 2016:**

<b>Participant Name</b>	<b>Date Filed</b>	<b>Amount Assessed</b>
<b>California Waste Solutions, Inc.</b>	<b>04/28/16</b>	<b>\$12,433,551.00</b>
<b>Recycling Service Alliance, Inc.</b>	<b>05/31/16</b>	<b>\$1,420,005.38</b>
	<b>TOTAL:</b>	<b>\$13,853,556.38</b>



# ACCUSATIONS

**Accusations resolved as of June 30, 2016 ~ filed & served after CY 2013:**

<b>Participant Name</b>	<b>Date Filed</b>	<b>Amount Assessed</b>	<b>Date Finalized</b>	<b>Resolution</b>
<b>Helping Hands</b>	06/09/15	\$50,900.00	04/08/16	\$18,000.00
<b>Junior Recycling</b>	07/13/15	\$661,000.00	04/29/16	\$661,000.00
	<b>TOTAL:</b>		<b>TOTAL:</b>	<b>\$679,000.00</b>



# ACCUSATIONS

**Unresolved accusations as of June 30, 2016 ~ filed & served after CY 2013:**

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Dougherty's Recycling	06/14/15	\$181,500.00
Junior Recycling	07/13/15	\$661,000.00
Enviro Recycling	08/24/15	\$1,749,881.00
American Green Recycling	08/26/15	\$43,000.00



# ACCUSATIONS

**Unresolved accusations as of June 30, 2016 ~ filed & served after CY 2013 (con't):**

Participant Name	Date Filed	Amount Assessed
<b>PNL Recycling</b>	08/26/15	\$2,065,289.00
<b>Valero Recycling</b>	09/30/15	\$655,400.00
<b>Meija'a Recycling</b>	10/26/15	\$517,066.72
<b>Jayden Recycling</b>	11/17/15	\$713,228.12
<b>USA Waste of California, Inc.</b>	02/16/16	\$3,953,330.26
	<b>TOTAL:</b>	<b>\$14,286,019.68</b>



# ACCUSATIONS

**Unresolved accusations as of June 30, 2016 ~ filed & served before CY 2013:**

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	<b>TOTAL:</b>	<b>\$ 160,425.81</b>