



# **CalRecycle Division of Recycling**

## **Reporting and Recordkeeping Regulations Changes**

March 9, 2010

- **Background**
- **Overview of Changes to Regulations**
- **Overview of Phased Implementation Plan and Timelines**
- **Obtain Feedback Regarding Implementation**
- **Discuss Next Steps**

## Why Did DOR Change the Regulations?

- **DORIIS Phase I Design and Development:**
  - ✓ Reduce Program Payment Reimbursement Cycle Time
  - ✓ Facilitate and Streamline Required Reporting and Recordkeeping
  - ✓ Improve Timeliness of Program Data

# Which Sections of the Regulations were Changed?

## **14CCR, Section 2090(f)**

- Consolidated Shipping Report

## **14CCR, Section 2425(a), (b) & (c)**

- Processor Invoice Submission and Format

## **14CCR, Section 2425 (e) and 2530(e) & (f)**

- Shipping Report - Receipts and Logs Reporting

# What was Changed & What are the Impacts?

## **14CCR, Section 2090(f)**

### **Change:**

- Eliminates ability to submit hardcopy consolidated shipping reports (cover & detail)

### **Impacts:**

- Individual hardcopy shipping reports will need to be completed for all shipments
- Potential reductions in DOR Service Contractor document processing costs

# What was Changed & What are the Impacts?

## 14CCR, Section 2425(a), (b) & (c)

### Changes:

- Increases number of original and amended Processor Invoices that can be submitted
- Extends due date for submission of original Processor Invoices
- Decreases due date for submission of amended processor invoices
- Eliminates system calculated payments

# What was Changed & What are the Impacts?

## 14CCR, Section 2425(a), (b) & (c)

### Impacts:

- Opportunity to reduce program payment reimbursement cycle time
- Improves timeliness of data submission
- Streamlines completion of hardcopy Processor Invoice
- Applies to both DORIIS and hardcopy submissions

## What was Changed & What are the Impacts?

### 14CCR, Section 2425 (e) and 2530(e) & (f)

#### Changes:

- Adds fields to capture consumer transaction by basis of purchase (i.e., segregated, commingled, and/or by count)
- Requires weight ticket number to be included on all shipping reports
- Eliminate requirement for printed name and title on shipping report

# What was Changed & What are the Impacts?

## 14CCR, Section 2425 (e) and 2530(e) & (f)

### Impacts:

- Streamlines reporting by eliminating need for recyclers to convert Daily Summary purchase information prior to preparing shipping report
- Provides Division with discrete consumer purchase data for all loads delivered
- Reporting by basis is optional

- Regulations were effective on February 27, 2010
- DOR will begin enforcing revised regulations effective with the July 2010 reporting month
- Consolidated reports will be denied and returned if submitted after the June 2010 reporting period
- Payments could be delayed and/or civil penalties may be assessed for noncompliance effective with the July 2010 reporting month

- Late submissions of original, amended and/or supplemental processor invoices may be subject to DOR assessment of civil penalties
- DOR will provide technical assistance to processors who are currently submitting hardcopies but want to become DORIIS users
- DOR will process all participant requests to become DORIIS users before July 1, 2010, if submitted prior to June 1, 2010



## Next Steps

- Upon request, DOR staff will work with participants to set them up as DORIIS users
- Enforcement of regulations will begin with reports submitted for the July 2010 reporting period
- DOR will send courtesy reminder notices in advance of enforcement date of regulations

## Where can I find more information?

- CalRecycle Website – Copy of Regulations:
    - ✓ <http://www.calrecycle.ca.gov/BevContainer/LawsRegs/Rulemaking/DORIIS/Text.pdf>
  - Contact DORIIS Participant Help Desk at:
    - ✓ [doriisparticipanthelpdesk@calrecycle.ca.gov](mailto:doriisparticipanthelpdesk@calrecycle.ca.gov)
- Or,
- ✓ (916) 322 - 1655