ELLIOT BLOCK SBN 116999
Chief Counsel
HEATHER L. HUNT SBN 225861
MARTHA PEREZ SBN 271766
Attorneys for Complainant
DEPARTMENT OF RESOURCES RECYCLING & RECOVERY
1001 I Street, 24th Floor
P. O. Box 4025
Sacramento, CA 95812-4025
Telephone: (916) 341- 6494
Facsimile: (916) 319-7579

STATE OF CALIFORNIA

DEPARTMENT OF RESOURCES RECYCLING & RECOVERY

In the matter of: GLOBAL WASTE MANAGEMENT, INC. OPERATOR TPID NO. 1613306-01 RESPONDENT.	STATEMENT OF ISSUES FOR THE REVOCATION OF MINOR WASTE TIRE FACILITY PERMIT PUBLIC RESOURCES CODE SECTION 42843 and GOVERNMENT CODE SECTION 11504 AGENCY NO. 2013-000010-REV ADMINISTRATIVE COMPLAINT FOR WASTE TIRE STORAGE AND WASTE TIRE HAULER ADMINISTRATIVE PENALTIES PUBLIC RESOURCES CODE SECTION 42850 ET SEQ.; 42950 ET SEQ. GOVERNMENT CODE SECTION 11503 AGENCY NO. 2013-011151-ADC

INTRODUCTION

This Statement of Issues For the Revocation of Minor Waste Tire Facility Permit (hereafter, "Statement of Issues") and Administrative Complaint for Waste Tire

Administrative Penalties (hereafter, "Administrative Complaint") is issued by the California Department of Resources Recycling and Recovery (CALRECYCLE) to GLOBAL WASTE MANAGEMENT, INC. Operator (hereafter, also referred to as "RESPONDENT" or "GLOBAL WASTE MANAGEMENT"). CALRECYCLE seeks $498,000.00 in administrative penalties be assessed against RESPONDENT.

The California Integrated Waste Management Board ("CIWMB" or also referred to as, the "Board") is now CALRECYCLE. CALRECYCLE succeeded to the CIWMB's authority on January 1, 2010, pursuant to Public Resources Code ("PRC") sections 40400 and 40401(a)(1). CALRECYCLE has the authority to permit, regulate, and conduct enforcement actions regarding waste tire facilities and waste tire haulers within the State of California pursuant to PRC sections 42800 et seq., 42950 et seq., and attendant regulations contained in Title 14 of the California Code of Regulations ("CCR"). Pursuant to PRC section 42851(a), CALRECYCLE is authorized to issue an administrative accusation for penalties to a person on whom civil liability may be imposed. Pursuant to PRC section 42843(a), CALRECYCLE is authorized to revoke the Minor Waste Tire Facility Permit of GLOBAL WASTE MANAGEMENT for a period of not more than three (3) years, or for a period of not more than five (5) years, subject to PRC section 42843(b). The revocation issued pursuant to PRC section 42843 shall be effective immediately upon the date of service of this Statement of Issues, and shall remain in effect until a hearing is completed and the director issues a decision.

This Statement of Issues and Administrative Complaint is so issued based on the following facts.

STATEMENT OF FACTS

1. GLOBAL WASTE MANAGEMENT, INC., Tire Program Identification ("TPID") Number 1613306-01, is a minor permitted waste tire facility located at 214 Shaw Road, Unit 9, South San Francisco, California 94080 (hereafter, referred to as "the site").

2. Daniel Akhromtsev is the "Operator" of the site, as that term is defined in PRC section 42804, "the person responsible for the overall operation of a waste tire facility."

3. On December 14, 2012, CALRECYCLE issued GLOBAL WASTE MANAGEMENT, INC. a new waste tire facility permit for a "Minor Waste Tire Facility," authorizing a maximum permitted capacity at the site of 4,999 whole waste tires or passenger tire equivalents.

4. A "Minor Waste Tire Facility" is defined in PRC section 42808(c) as, "a waste tire facility where, at any time, 500 or more, but less than 5,000, waste tires are or will be stored, stockpiled, accumulated, or discarded."

5. A "Major Waste Tire Facility" is defined in PRC section 42808(b) as "a waste tire facility where, at any time, 5,000 or more waste tires are or will be stored, stockpiled, accumulated, or discarded."

6. On February 20, 2013, CALRECYCLE Inspectors Catherine Blair and Robert Baumann conducted a routine inspection of the site to ensure compliance with applicable waste tire storage and disposal standards and the terms and conditions of GLOBAL WASTE MANAGEMENT's Minor Waste Tire Facility Permit. As documented in Inspection Report #IW-1004936, Inspectors Blair and Baumann were not granted access to the site to conduct a routine inspection. Based on a visual observation and a plain view of the site, Inspectors Blair and Baumann observed approximately 11,682 waste tires on the site, in violation of RESPONDENT's Minor Waste Tire Facility Permit (PRC section 42850(a)) and in violation of PRC sections 42823, 42824, and Title 14 CCR section 18420(a).

RESPONDENT violated PRC section 42823, "no person shall establish a new major waste tire facility or expand an existing minor waste tire facility unless the person has obtained a major waste tire facility permit issued by the board [CALRECYCLE]." RESPONDENT also violated Title 14 CCR section 18420(a), "the operator of a waste tire facility shall acquire a waste tire facility permit in accordance with the requirements

of this Chapter and PRC section 42808." RESPONDENT was operating a major waste tire facility by storing approximately 11,682 waste tires on the site, without first obtaining a major waste tire facility permit issued by CALRECYCLE.

RESPONDENT also violated PRC section 42824, "it is unlawful to direct or transport waste tires to a major waste tire facility or to accept waste tires at a major waste tire facility unless the operator has obtained a major waste tire facility permit." RESPONDENT unlawfully accepted waste tires at the site exceeding its 4,999 permitted capacity as a minor waste tire facility, and expanded a minor waste tire facility into a major waste tire facility, without having first obtained a major waste tire facility permit issued by CALRECYCLE. Pursuant to PRC section 42850.1(b)(1):

> Any person who intentionally violates any provision of this chapter, or any permit, rule, regulation, standard, or requirement issued or adopted pursuant to this chapter, is liable for a civil penalty not to exceed ten thousand dollars ($10,000), for each violation of a separate provision or, for continuing violations, for each day that the violation continues.

Thus, GLOBAL WASTE MANAGEMENT is liable for a civil penalty for intentionally violating its Minor Waste Tire Facility Permit, exceeding its permitted capacity of 4,999 waste tires stored on the site, and for violations of the rules, regulations, and requirements pursuant to Chapter 16 (commencing with PRC section 42800 et seq.) and Chapter 19 (commencing with PRC section 42950 et seq.).

7. On February 20, 2013, RESPONDENT was also in violation of Title 14 CCR section 18447, which requires that the operator retain copies of all records required by applicable statutes and regulations governing waste tire facilities and that those records, "shall be made available at the site during normal business hours for inspection and photocopy by any representative of the Board [CALRECYCLE] or any individual authorized by the Board." Because RESPONDENT's employees were unwilling to allow Inspectors Blair and Baumann access to the site to conduct a routine inspection, RESPONDENT's Operation Plan, Emergency Response Plan, Fire

Prevention Measures, and Comprehensive Trip Logs ("CTL"), also referred to as "Manifest Forms," were not made available to CALRECYCLE Inspectors for inspection and photocopy.

8. On March 5, 2013, GLOBAL WASTE MANAGEMENT violated PRC section 42953 by using an unregistered waste tire hauler to transport waste or used tires from the site. PRC section 42953 states that, "[a]ny person who gives, contracts, or arranges with another person to transport waste or used tires shall utilize only a person holding a valid waste and used tire hauler registration from the board." On March 5, 2013, RESPONDENT submitted CTL forms to CALRECYCLE indicating that four separate deliveries were made from the site to the Port of Oakland, listing GLOBAL WASTE MANAGEMENT as the registered waste and used tire hauler making the deliveries. Surveillance of the site by the California Highway Patrol (hereafter, referred to as the "CHP") confirmed that all four deliveries from the site to the Port of Oakland, were in fact made by an *unregistered* waste tire hauler, China Ahead Trucking, using a vehicle identified as being registered to China Ahead Trucking.

On this same day, RESPONDENT violated PRC sections 42961.5 and 42961.5(c)(2) by falsifying CTL forms and submitting them to CALRECYCLE. PRC section 42961.5 and 42961.5(c)(2) requires that any waste and used tire hauler hauling waste or used tires for offsite handling, altering, storage, disposal, or any combination thereof, shall complete a CTL form as required by CALRECYCLE. The CTL form shall be signed by a generator of waste or used tires, a waste and used tire hauler, or the operator of a waste or used tire facility, or other destination point. The CTL form shall contain all information, including but not limited to: an accurate measurement of the number of tires being shipped, the type or types of the tires, the date the shipment originated, and the origin and intended final destination of the shipment.

RESPONDENT completed and submitted CTL forms showing that GLOBAL WASTE MANAGEMENT made three separate deliveries from its site to the Port of Oakland using a vehicle with license plate number CA 9E32013. However, these CTL's

were inaccurate and contained misrepresentations since, as confirmed by CHP surveillance, the three deliveries were in fact made by *unregistered* waste tire hauler, China Ahead Trucking, using vehicles identified as being registered to China Ahead Trucking. Surveillance by the CHP, documenting all vehicle activity entering and exiting the site, confirmed that at no time during March 5th, 2013 was a vehicle with license plate number CA 9E32013 seen dropping off or picking up trailers at the site. RESPONDENT falsified at least three CTL forms when it submitted CTL form numbers 4713927-A, 4713928-B, and 4713929-C to CALRECYCLE indicating three separate deliveries to the Port of Oakland using said vehicle on March 5th, 2013.

9. On March 6, 2013, RESPONDENT again violated PRC section 42953 by using an unregistered waste tire hauler, China Ahead Trucking, to transport waste or used tires from its site. RESPONDENT again also violated PRC sections 42961.5 and 42961.5(c)(2) by falsifying CTL forms and submitting them to CALRECYCLE. RESPONDENT completed and submitted CTL forms showing that GLOBAL WASTE MANAGEMENT made four separate deliveries from its site to the Port of Oakland, using a vehicle with license plate number CA 9E32013. However, these CTL forms were inaccurate and contained misrepresentations since, as confirmed by CHP surveillance, the four deliveries were in fact made by *unregistered* waste tire hauler, China Ahead Trucking, using a vehicle identified as being registered to China Ahead Trucking. Surveillance by the CHP, documenting all vehicle activity entering and exiting the site, confirmed that at no time during March 6th, 2013 was a vehicle with license plate number CA 9E32013 seen dropping off or picking up trailers at the site. RESPONDENT falsified at least four CTL forms when it submitted CTL form numbers 4714268-C, 4714267-B, 4717268-A, and 4714269-B to CALRECYCLE indicating four separate deliveries to the Port of Oakland using said vehicle on March 6th, 2013.

10. On March 6, 2013, CALRECYCLE Inspectors Blair, Baumann, and Michael Payan returned to the site to conduct a routine inspection. As documented in Inspection Report #IW-1005173, RESPONDENT had approximately 17,040 waste tires

stored on the site, once again in violation of its Minor Waste Tire Facility Permit (PRC section 42850(a)) and in violation of PRC sections 42823, 42824, and Title 14 CCR section 18420(a). RESPONDENT was operating a major waste tire facility by storing approximately 17,040 waste tires on site. RESPONDENT unlawfully accepted waste tires at the site exceeding its 4,999 permitted capacity as a minor waste tire facility, and expanded a minor waste tire facility into a major waste tire facility, without having first obtained a major waste tire facility permit. In addition, RESPONDENT was in violation of the Site Specific Conditions of its Minor Waste Tire Facility Permit, specifically, Conditions (a) and (b), and in violation of the General Conditions of its Minor Waste Tire Facility Permit, specifically, Conditions (d) and (h).

Site Specific Condition (a) states, "The permittee shall store no more than 4,999 waste tires on site, in accordance with conditions b, and d, below, at any given time." RESPONDENT had approximately 17,040 waste tires stored on the site. Site Specific Condition (b) states, "Waste tires shall be stored only in designated areas shown in the site plan on the final page of this permit." Inspectors observed waste tires stored in areas once belonging to B.A.Y. Tires Inc. and in an area designated for used truck tires. General Condition (d) states, "The permittee shall maintain a copy of the Fire Authority approval at the facility. All emergency phone numbers shall be updated immediately." During the inspection, RESPONDENT was unable to provide the latest copy of the Fire Authority approval and currently had outdated emergency contact names and phone numbers. General Condition (h) states, "The permittee shall notify CalRecycle in writing of each administrative change no later than seven (7) business days after the change is effective." RESPONDENT failed to notify CALRECYCLE that B.A.Y. Tires Inc. no longer occupied the facility.

11. On April 8, 2013, CALRECYCLE issued Cleanup and Abatement Order ("CAO") 2013-011028-CAO to GLOBAL WASTE MANAGEMENT and to 825 POST STREET ASSOCIATES, LP, Property Owner of the site, for waste tire storage violations. RESPONDENT was served with the CAO on April 10, 2013. The CAO

directed RESPONDENT to, "remove all waste tires in excess of 4,999 from the premises within 15 days from the date of service of [the] CAO, in accordance with the following waste tire removal schedule . . . all waste tires . . . shall be removed by a registered waste tire hauler . . . to an approved facility." The CAO also ordered RESPONDENT to at no time "accept additional waste tires at the site unless there are less than 4,999 and the acceptance of additional waste tires will not result in more than 4,999 waste tires at this site" and to "reimburse CalRecycle for the cost of all inspections conducted within a year from the issuance of this CAO that are in addition to those inspections required by statute or regulation."

12. On May 7, 2013, CALRECYCLE Inspectors Blair, Baumann, and Payan inspected the site. CALRECYCLE Inspectors counted approximately 3,143 waste tires on the site. RESPONDENT was in compliance with their waste tire storage capacity of 4,999 or less. However, RESPONDENT was in violation of Site Specific Conditions (b) and (d), and General Condition (h) of its Minor Waste Tire Facility Permit. In violation of Condition (b), waste tires were stored in areas near the loading dock, which is designated for storage of used truck tires. In violation of Condition (h), RESPONDENT had outdated emergency contact names and phone numbers. Inspector Blair confirmed the outdated emergency contact information by calling Erik Klinkovich, listed as the Facility Owner/Operator and primary point of contact on the Emergency Response Plan; Mr. Klinkovich confirmed to Inspector Blair that he had not worked for GLOBAL WASTE MANAGEMENT since March 2012. In violation of Condition (h), RESPONDENT failed to notify CALRECYLE within seven (7) days that B.A.Y. Tires Inc. no longer occupied the facility.

Additionally, RESPONDENT was in violation of Title 14 CCR section 17356, "waste tires stored indoors must be stored under conditions that meet or exceed those in the 'The Standard for Storage of Rubber Tires', National Fire Protection Association," by storing flammable materials within 40 feet of a waste tire pile. Inspector Payan observed baled twine, plastics, wooden pallets, and inner tubing

commingled with waste tires, in violation of Title 14 CCR section 17356. During this same inspection, Inspectors also reviewed RESPONDENT's CTL forms and reminded Mr. Akhromtsev (GLOBAL WASTE MANAGEMENT's Operator) not to use unregistered waste tire hauler, China Ahead Trucking, to haul tires since China Ahead Trucking's hauler registration had been revoked.

13. On May 7th, 2013, RESPONDENT again violated PRC section 42953 by using unregistered waste tire hauler, China Ahead Trucking, to transport waste or used tires from the site. RESPONDENT again also violated PRC sections 42961.5 and 42961.5(c)(2) by falsifying CTL forms and submitting them to CALRECYCLE. RESPONDENT completed and submitted a CTL form showing that GLOBAL WASTE MANAGEMENT made a delivery from its site to the Port of Oakland, using vehicle license number CA 9E32013. However, this CTL form was inaccurate and contained misrepresentations since, as confirmed by CHP surveillance, the delivery was in fact made by a vehicle registered to *unregistered* waste tire hauler, China Ahead Trucking. A 12-hour surveillance watch by the CHP, documenting all vehicle activity entering and exiting the site, confirmed that at no time during May 7th, 2013 was a vehicle with license plate number CA 9E32013 seen dropping off or picking up trailers at the site. RESPONDENT falsified at least one CTL form when it submitted CTL form 4713886-B to CALRECYCLE indicating a delivery to the Port of Oakland using said vehicle on May 7th, 2013.

14. On May 8th, 2013, RESPONDENT again violated PRC section 42953 by using unregistered waste tire hauler, China Ahead Trucking, to transport waste or used tires from the site. RESPONDENT again also violated PRC sections 42961.5 and 42961.5(c)(2) by falsifying CTL forms and submitting them to CALRECYCLE. RESPONDENT completed and submitted CTL forms showing that GLOBAL WASTE MANAGEMENT made two deliveries from its site to the Port of Oakland, using vehicle license number CA 9E32013. However, these CTL forms were inaccurate and contained misrepresentations since, as confirmed by CHP surveillance, the deliveries

were in fact made by a vehicle registered to unregistered waste tire hauler, China Ahead Trucking. A 12-hour surveillance watch by the CHP, documenting all vehicle activity entering and exiting the site, confirmed that at no time during May 8th, 2013 was a vehicle with license plate number CA 9E32013 seen dropping off or picking up trailers at the site. RESPONDENT falsified at least two CTL forms when it submitted CTL form 4713885-A and 4713885-C to CALRECYCLE indicating a delivery to the Port of Oakland using said vehicle on May 8th, 2013

15. On May 14, 2013, CALRECYCLE Inspectors Blair, Baumann, Payan and San Mateo County Health Services Department Inspector, Marjorie Terrell, re-inspected the site. As documented in Inspection Report #IW-1005931, the Inspectors determined that approximately 12,167 waste tires were stored on the site in violation of RESPONDENT's Minor Waste Tire Facility Permit (PRC section 42850(a)), the CAO, and in violation of PRC sections 42823, 42824, 42951(b), and Title 14 CCR section 17356.

RESPONDENT was operating a major waste tire facility by storing approximately 12,167 waste tires on the site without first obtaining a major waste tire facility permit, a violation of PRC section 42823. RESPONDENT unlawfully accepted waste tires at the site exceeding its 4,999 permitted capacity as a minor waste tire facility and expanded a minor waste tire facility into a major waste tire facility without having first obtained a major waste tire facility permit, a violation of PRC section 42824. RESPONDENT unlawfully allowed the transportation of at least two loads of waste and/or used tires to be delivered to a facility not authorized to accept more than 4,999 waste tires, a violation of PRC section 42951(b). RESPONDENT violated Title 14 CCR section 17356, by storing highly flammable materials within 40 feet of a waste tire pile. The baled twine, plastics, and inner tubing that was commingled with waste tires and cited as a violation in the May 7, 2013 inspection remained.

Additionally, RESPONDENT was once again in violation of the Site Specific Conditions of its Minor Waste Tire Facility Permit, specifically, Conditions (a) and (b),

and in violation of General Conditions (d) and (h). RESPONDENT had approximately 12,167 waste tires stored on the site, in violation of Condition (a). Waste tires were stored in areas near the loading dock, which is designated for used truck tires only, in violation of Condition (b). RESPONDENT had outdated emergency contact names and phone numbers, in violation of Condition (d). Finally, in violation of Condition (h), RESPONDENT failed to notify CALRECYLE within seven (7) days that B.A.Y. Tires Inc. no longer occupied the facility.

16. On July 31, 2013, CALRECYCLE Inspectors Michael Payan and Robert Baumann conducted an additional inspection of the site. As documented in Inspection Report #IW-1006440, Inspectors determined that approximately 21,052 waste tires were being stored on the site, in violation of RESPONDENT's Minor Waste Tire Facility Permit (PRC section 42850(a), the CAO, and in violation of PRC sections 42823, 42824. Daniel Akhromtsev, RESPONDENT's Operator, even acknowledged that he was in violation by being over his permitted waste tire count. He stated to CALRECYCLE inspectors that he knew he was over his allowable tire count.

RESPONDENT was operating a major waste tire facility by storing approximately 21,052 waste tires on the site without first obtaining a major waste tire facility permit, a violation of PRC section 42823. RESPONDENT unlawfully accepted waste tires at the site exceeding its 4,999 permitted capacity as a minor waste tire facility and expanded a minor waste tire facility into a major waste tire facility without having first obtained a major waste tire facility permit, a violation of PRC section 42824. RESPONDENT was once again in violation of the Site Specific Conditions of its Minor Waste Tire Facility Permit, specifically, Conditions (a) and (b), and in violation of General Conditions (d) and (h). RESPONDENT had approximately 21,052 waste tires stored on the site, in violation of Condition (a). Waste tires were stored in areas near the loading dock, which is designated for used truck tires only, in violation of Condition (b). RESPONDENT had outdated emergency contact names and phone numbers, in violation of Condition (d). Finally, in violation of Condition (h), RESPONDENT failed to

notify CALRECYLE within seven (7) days that B.A.Y. Tires Inc. no longer occupied the facility.

ALLEGATIONS OF SPECIFIC VIOLATIONS

17. CALRECYCLE seeks administrative penalties of $498,000.00 pursuant to PRC sections 42850.1, 42962, and Title 14 CCR sections 18429, 18464.

18. CALRECYCLE revokes the Minor Waste Tire Facility Permit of GLOBAL WASTE MANAGEMENT effective immediately upon the date of service of this Statement of Issues.

19. The administrative penalties of $498,000.00 and Revocation of the Minor Waste Tire Facility Permit is based on the above-mentioned facts, and for the following violations as more specifically set forth in the foregoing paragraphs.

a. On at least two occasions, GLOBAL WASTE MANAGMENT failed to comply with the terms set forth in the CAO, thereby violating PRC section 42845, which requires any person, upon order of CALRECYCLE, to clean-up, abate the effects of waste tires, or take other remedial action as necessary. The CAO ordered RESPONDENT, "*at no time may you accept additional waste tires at this site unless there are less than 4,999 at this site and the acceptance of additional waste tires will not result in more than 4,999 waste tires at this site.*" (Emphasis added.) On May 14, 2013, approximately 12,167 waste tires were stored on the site. On July 31, 2013, approximately 21,052 waste tires were stored on the site.

b. On at least four occasions, GLOBAL WASTE MANAGEMENT violated PRC sections 42823, 42824 and Title 14 CCR section 18420(a) by expanding an existing minor waste tire facility into a major waste tire facility by storing, stockpiling, or accumulating more than 4,999 tires without first obtaining a major waste tire facility permit.

c. On at least one occasion, GLOBAL WASTE MANAGEMENT violated PRC section 42951(b) by allowing the transportation of at least two loads of waste and/or used tires to be delivered to a facility not authorized by statute, regulation, or by the Board [CALRECYCLE] to accept waste and used tires.

d. On at least four occasions, GLOBAL WASTE MANAGEMENT violated PRC sections 42953 and 42961.5(c)(2) by utilizing an unregistered waste tire hauler, China Ahead Trucking, to transport waste and/or used tires to and from the site, and falsified information on at least 11 CTL receipts submitted to CALRECYCLE.

e. On at least five occasions, GLOBAL WASTE MANAGEMENT violated PRC section 42850(a) by violating the terms and conditions of its Minor Waste Tire Facility Permit.

f. On at least two occasions, GLOBAL WASTE MANAGEMENT violated Title 14 CCR section 17356, by storing flammable materials within 40 feet of a waste tire pile.

g. On at least one occasion, GLOBAL WASTE MANAGEMENT violated Title 14 section 18459.3(b), by failing to have records be made available at the site during normal working hours for inspection and photocopy.

REVOCATION

20. RESPONDENT's actions meet the requirements set forth in PRC section 42843(a), which authorizes the CALRECYCLE to revoke a waste tire facility permit for a period of up to three years, by serving a statement of issues, if the holder of the permit, during the previous three years, fails to comply with an order regarding compliance subsequent to receiving a notice of violation, for violations of Chapter 16 (commencing with PRC section 42800), Chapter 19 (commencing with PRC section 42950), or the regulations adopted pursuant to those chapters.

Subject to the requirements set forth in PRC section 42843(b), CALRECYCLE may revoke a waste tire facility permit for a period of not more than five years, if CALRECYCLE determines that a violation demonstrates a "chronic, recurring pattern of noncompliance that poses or may pose, a significant risk to public health and safety or the environment, or if the violation has not been corrected or reasonable progress toward correction has not been achieved."

PENALTIES

21. RESPONDENT is liable for administrative penalties as set forth in PRC section 42850.1 for intentional violations. Penalties cannot exceed $10,000 per day for an intentional violation.

22. Pursuant to PRC section 42846.5, the imposition of penalties herein may form the basis for a subsequent CALRECYCLE order permitting CALRECYCLE or its contractor's access to the property mentioned herein to perform cleanup, abatement or remedial work under PRC section 42846. Further, PRC section 42847 authorizes CALRECYCLE to seek recovery of the costs of any cleanup abatement or remedial work.

23. CALRECYCLE's authority to assess administrative penalties against RESPONDENT is set forth in PRC section 42850.1 (b), which states:

> (b) (1) Any person who intentionally violates any provision of this chapter, or any permit, rule, regulation, standard, or requirement issued or adopted pursuant to this chapter is liable for a civil penalty not to exceed ten thousand dollars ($10,000), for each violation of a separate provision or, for continuing violations, for each day that the violation continues.
>
> (2) Liability under this subdivision may be imposed in a civil action or may be imposed administratively pursuant to this article.

RESPONDENT is subject to an administrative penalty of up to $10,000 per violation for each day RESPONDENT is in violation.

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24. Title 14 CCR section 18429 authorizes penalties of up to three thousand dollars ($3,000) for every day the intentional violation continues after the deadline set forth in the CAO against permitted waste tire facilities that accumulate between 10,000-19,999 waste tires.

25. Title 14 CCR section 18429 authorizes penalties of up to four thousand dollars ($4,000.00) for every day the intentional violation continues after the deadline set forth in the CAO against permitted waste tire facilities that accumulate between 20,000-49,999 waste tires.

26. In setting an appropriate administrative penalty, the hearing officer must take into consideration the provisions of PRC section 42852.

27. In determining an appropriate administrative penalty for RESPONDENT, as required by 14 CCR section 18465, CALRECYCLE has taken into consideration the following factors:

(1) The nature, circumstances, extent, and gravity of the violation.

(2) Evidence that the violation was willful or negligent.

(3) The good or bad faith exhibited by the party.

(4) History of violation of the same or similar nature.

(5) The extent to which the party has cooperated with the Board [CALRECYCLE] in remediating the violation.

(6) The extent that the party has mitigated or attempted to mitigate any damage or injury caused by his or her violation.

(7) Evidence of any financial gain resulting from the violation.

(8) Such other matters as justice may require.

28. Accordingly, CALRECYCLE hereby revokes the Minor Waste Tire Facility Permit of GLOBAL WASTE MANAGEMENT for a period of five (5) years, effective immediately upon the date of service of this Statement of Issues, and seeks an administrative penalty to be assessed against GLOBAL WASTE MANAGEMENT for $498,000.00.

RIGHT TO HEARING

You are hereby notified that pursuant to PRC sections 42843(e), 42851, 42961.1, and Government Code section 11506, that you are entitled to a hearing to refute the allegations against you contained in this Statement of Issues and Administrative Complaint. **If you wish to have a hearing on this matter, you must complete and return the enclosed "Request For A Hearing/Notice of Defense" to the address indicated on the form within 15 days of receipt of this Statement of Issues and Administrative Complaint. Failure to complete and return the Request For A Hearing/Notice of Defense within 15 days will be deemed a waiver of your right to a hearing.**

Pursuant to the above referenced Public Resources Code and Government Code sections, discovery requests by any party must be made within thirty (30) days after the service of this Statement of Issues and Administrative Complaint.

Dated this 13th day of September, 2013.

MARTHA PEREZ
Staff Counsel

STATE OF CALIFORNIA

CALIFORNIA DEPARTMENT OF RESOURCES RECYCLING & RECOVERY

In the matter of:

TPID NO: _____

RESPONDENTS.

_____

REQUEST FOR A HEARING/NOTICE OF DEFENSE

AGENCY NO: _____-_____-ADC

I, _____, in the above-entitled proceeding, acknowledge receipt of a copy of the _____

(Fill in full title of Statement of Issues or Administrative Complaint [Accusation].)

_____.

I hereby:

( ) Request a hearing.

The basis for my request is the following:

_____

_____

_____

_____

_____

Further, I hereby:

( ) Object to the Accusation for failure to state acts or omissions upon which the agency may proceed.

( ) Object to the form of the Accusation in that the transaction cannot be identified or a defense prepared.

( ) Present new matter by way of defense.

( ) Admit the Accusation in whole or in part.
( ) Object to the Accusation on the basis that compliance with the requirements of a regulation would result in a material violation of a regulation enacted by another department affecting substantive rights.

All correspondence concerning this proceeding should be sent to Respondent at the following address:

(If you are represented by an attorney, all correspondence concerning this matter will be sent to the attorney.)

Address:

City: State: Zip Code:

Telephone: Email:

☐ I hereby agree to accept service of all correspondence by email.

Signature:_____

Date:_____

Please send to:

CalRecycle Legal Office
Attention: Gloria Bell
P.O. Box 4025, MS 24-B
Sacramento, CA 95812-4125

CalRecycle

DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY

801 K STREET, MS 19-01, SACRAMENTO, CALIFORNIA 95814 • (916) 322-4027 • WWW.CALRECYCLE.CA.GOV

RIGHT TO A HEARING

You are hereby notified that that you are entitled to request a hearing to refute the allegations against you contained in the STATEMENT OF ISSUES FOR THE REVOCATION OF MINOR WASTE TIRE FACILITY PERMIT (Statement of Issues) and ADMINISTRATIVE COMPLAINT FOR WASTE TIRE STORAGE AND WASTE TIRE HAULER ADMINISTRATIVE PENALTIES (Administrative Complaint). The enclosed REQUEST FOR HEARING/NOTICE OF DEFENSE form (Notice of Defense), when signed by or on behalf of the Respondent and returned to the Department of Resources Recycling and Recovery (CalRecycle) within 15 days of receipt of the Administrative Complaint , will acknowledge service of the Administrative Complaint and constitute a notice of defense. **If you wish to have a hearing on this matter, you must complete and return the enclosed Notice of Defense to the address indicated on the form within 15 days of receipt of the Administrative Complaint. Failure to complete and return the Notice of Defense within 15 days will be deemed a waiver of your right to a hearing.**

If you request one, a hearing will be conducted before a Hearing Officer, a CalRecycle employee that does not work on or advise the tire programs, at one of our offices or other locations throughout the State, upon the charges made in the Administrative Complaint.

ORIGINAL PRINTED ON 100% POST-CONSUMER CONTENT, PROCESSED CHLORINE FREE PAPER

Please refer to the attached document entitled "Representing Yourself in a CalRecycle Tire Hearing" for further guidance on hearing procedures and basic information on representing yourself at a CalRecycle tire hearing.

1. You are entitled to review the evidence being used against you and request a list of witnesses by applying to CalRecycle's attorney.
2. You may present any relevant evidence, and will be given full opportunity to cross-examine all witnesses testifying against you.
3. You are entitled, upon request, to be provided with the assistance of an interpreter, if you or your witnesses do not proficiently speak or understand the English language. If you require the assistance of an interpreter, timely notice of this fact should be given to CalRecycle's Hearing Clerk, so appropriate arrangements can be made. Generally, the cost is paid by the party requesting an interpreter, however, the Hearing Officer may order CalRecycle to pay if you cannot.
4. You are hereby notified that, pursuant to the provisions of 14 CCR section 17050 et seq., the violations alleged against you may cause you to be placed on CalRecycle's Unreliable Contractors, Subcontractors, Borrowers, and Grantees list. Placement on this list may prohibit you from obtaining contracts, loans, or grants from CalRecycle for up to three years.
5. Continuances are not favored. If you need a continuance, immediately submit a written request to Hearing Clerk- TIR, CalRecycle Legal Office, 801 K Street, MS 19-03, Sacramento, California 95814, by fax to 916-322-8768, or by e-mail to HearingClerk.TIR@CalRecycle.ca.gov. Your written request for a continuance will be forwarded to the Hearing Officer for decision. Requests without good cause will be denied.

CalRecycle

DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY

LEGAL OFFICE

801 K STREET, MS 19-03, SACRAMENTO, CALIFORNIA 95814• (916) 327-0089 • WWW.CALRECYCLE.CA.GOV

Representing Yourself in a CalRecycle Tire Hearing

CalRecycle is providing this information to help you prepare for your administrative hearing. This information is not a substitute for having an attorney. We hope this information will help you better understand the process and prepare for the hearing.

How Do I Request A Hearing?

You have received this because you have been served with either a Statement of Issues or Administrative Complaint (Accusation) issued by the Waste Permitting, Compliance, and Mitigation Division of the Department of Resources Recycling and Recovery (CalRecycle). You may request a hearing to have a Hearing Officer hear your side of the matter before your permit or registration is denied, suspended or revoked, or before penalties are assessed against you. If you do not request a hearing, a Default Decision will be issued ordering the denial, suspension, or revocation of your permit or registration or ordering you to pay the penalty amount requested in the Accusation. If you wish to request a hearing, you must complete and send the Request For Hearing / Notice Of Defense to the address designated on that form. On that form you must state the reason you are requesting a hearing. You must provide enough information for CalRecycle to understand the facts, defenses, and other issues you hope to have addressed at the hearing.

What Will My Hearing Be Like?

Your hearing will be very similar to a trial in court, with witnesses, exhibits and rules of evidence. A Hearing Officer will preside under a delegation of authority from CalRecycle's director. You have the right to be represented by an attorney at your own expense. You are not entitled to the appointment of an attorney to represent you at public expense. You are entitled to represent yourself without legal counsel. However, an attorney may be better able to present your side.

When the hearing begins, each side may present an opening statement. This tells the Hearing Officer what that side intends to prove. Each side can then offer relevant evidence to prove its case.

Evidence can be testimony taken under oath at the hearing or it can be certain kinds of documents, such as business records. You must prove that the documents you submit are authentic. This means that you must be able to show that a document actually is what you say it is; usually this is accomplished through witness testimony.

CalRecycle usually presents its evidence first. CalRecycle's attorney will ask its witness questions (direct examination). When the attorney is finished, it will be your turn to ask questions of that witness (cross-examination). CalRecycle's attorney will have a second chance to ask questions (redirect) and then you will have a second chance (recross).

After CalRecycle has presented its witnesses, it will be your turn. You may make a statement yourself and call your witnesses. As you finish with each of your witnesses (and your testimony), the CalRecycle attorney will cross-examine. As stated before, you will have a second chance to ask questions of each witness. Even if you choose not to testify, CalRecycle's attorney may cross-examine you.

After you have presented your case, CalRecycle may call rebuttal witnesses. Rebuttal witnesses may only testify to issues you brought up in your case. If CalRecycle calls rebuttal witnesses, you may be allowed to call additional witnesses to address the issues discussed by rebuttal witnesses. Few hearings involve rebuttal witnesses.

Remember: Before the hearing closes, you must submit all the evidence you want the Hearing Officer to consider.

After all testimony has been heard, each side can make a closing argument. Usually CalRecycle goes first; you go next. The party that goes first has the opportunity to make the last comments.

Closing argument is your chance to sum up the evidence and tell the Hearing Officer why you should prevail in your case. It can address only those facts brought out in testimony of witnesses or in documents received into evidence. In some cases, the Hearing Officer may want the parties to submit written, instead of oral, argument. If so, a schedule will be set up for the written arguments.

What Do I Need To Prove?

If a license, permit or registration for which you applied is being denied, the burden is on you to prove your side. You must prove you meet the qualifications for the license, permit or registration.

If you already have a license, permit or registration against which CalRecycle is imposing discipline, CalRecycle has the burden of proof. This means that CalRecycle must establish that you violated the laws or regulations charged in the Accusation or Statement of Issues.

Even when CalRecycle has the burden of proof, you should prepare to offer evidence of your good character and conduct, mitigation, rehabilitation and evidence refuting the charges, as appropriate.

May I See CalRecycle's Evidence Against Me?

CalRecycle's evidence is also called discovery. You are entitled to request discovery of the information CalRecycle has to prove the charges made against you or enable you to mount a defense against them. A Request For Discovery form is available on CalRecycle's website, or may be obtained by contacting CalRecycle's attorney or Hearing Clerk. Simply send the completed Request for Discovery to CalRecycle's attorney if you wish to obtain a copy of the investigative files and any other documents or relevant information CalRecycle has regarding your case. You may have to pay for copies. You also have a right to receive a witness list.

Generally, you must request "discovery" within 30 days of receiving the initial Accusation or Statement of Issues, or within 15 days of any supplemental Accusations or Statements of Issues. In some cases, these times may be shorter. Be sure to read the documents you receive to verify the time you have to request discovery.

CalRecycle has the same rights to get information from you. You may also be served with, or have included in the paperwork received already, a Request For Discovery on behalf of CalRecycle. You must make copies of the requested information available to CalRecycle by the date stated in the Request for Discovery.

What Kind of Evidence Will I Need For the Hearing?

Depending on your case, you may want to bring witnesses who know about the issues involved with the charges against you. If there are documents, such as contracts, business records or checks that help prove your side, try to bring the original and three copies. You may bring photographs or other items that are relevant to your defense. Items you want to be considered must be left with the Hearing Officer. Generally, you may substitute copies of those items in place of the originals.

Documents and photographs must be authenticated. This is typically done through witness testimony, during which your witness, or yourself, if you choose to testify, will need to explain to the Hearing Officer what the document is, how the witness reliably knows what it is, and how it is relevant to your case.

How Do I Get Records From a Business?

If you are a party to a hearing, you have the right to subpoena from individuals, businesses and government agencies relevant records or other things to be produced at the hearing. CalRecycle has a subpoena form available for download on its website, and a copy may also be mailed or emailed to you upon request. You must arrange to pay any required fees and have someone else serve the subpoenas. Only CalRecycle Hearing Officers or attorneys licensed in California acting on behalf of a party may sign a subpoena. If you are proceeding without an attorney, contact CalRecycle's Hearing Clerk to obtain a blank subpoena form signed by a Hearing Officer. See also California Code of Civil Procedure, sections 1985-1985.4 for other important information.

How Do I Get a Witness to Come to the Hearing?

A witness can come voluntarily to the hearing. However, a subpoena protects your right to have that person present. You may obtain the subpoena form by downloading it from CalRecycle's website, or by requesting that a copy be mailed or emailed to you. You must use the subpoena to compel the attendance of persons whose testimony is relevant to your case, unless that person agrees to appear voluntarily on your behalf. Witnesses are entitled to paid reimbursement of their time. See Government Code sections 11450.05, 11450.50, 68092.5-68093, and 68096.1-68097.10. You must arrange to pay required fees and have someone else serve the subpoenas. Only CalRecycle Hearing Officers or attorneys licensed in California acting on behalf of a party may sign a subpoena. If you are proceeding without an attorney, contact CalRecycle's Hearing Clerk to obtain a blank subpoena form signed by a Hearing Officer. See also California Code of Civil Procedure, sections 1985-1985.4 for other important information.

Is It OK to Bring Letters Instead of Witnesses?

Some letters and other documents may be admitted in evidence for limited purposes, but generally it is better to bring witnesses who can help present your side of the case and answer any questions raised. The Hearing Officer will not speak with witnesses, except at the hearing itself. If you do choose to offer letters, declarations or other documents, make sure you understand what you must do to get them admitted in evidence. (For instance, see the discussion on authenticating documents above.)

Remember: This hearing is your chance to tell the Hearing Officer your side. It is important to have your witnesses present at the hearing to testify.

If I Forget Something, Can I Send It Later to the Hearing Officer?

Your chance to present evidence is at the hearing. Only in rare cases will the Hearing Officer allow you to send evidence later.

Is There a Way To Settle This Without a Hearing?

Cases often settle without going to hearing. Contact the CalRecycle attorney to see if you can work something out.

What If I Can't Be There On The Day Set?

You must show good cause to change a hearing date. If you cannot attend on the date and at the time shown, you must contact CalRecycle as soon as you know of the problem. To request a change of date, you must file a written request with the Hearing Clerk in CalRecycle's Legal Office, with a copy to CalRecycle's attorney, explaining the reasons for the change. The sooner you make your request, the more likely it will be granted.

Remember: You must file a timely Notice of Defense in order to have a hearing.

Where Will The Hearing Take Place?

Hearings are scheduled at the CalRecycle office located closest to your residence or the location at which the transactions or events providing the basis for the Accusation or Statement of Issues occurred. CalRecycle's office locations are in Sacramento, Los Angeles, Riverside and San Diego. In some circumstances, CalRecycle may conduct a hearing at a location other than a CalRecycle office. If you feel that a different location would work better for you please contact CalRecycle's Hearing Clerk as early as possible to request a location change. CalRecycle reserves the right to deny the request upon consideration of issues including, without being limited to, the ability of CalRecycle staff and the Hearing Officer to travel to the location, and any fees that may be incurred for travel plans already made by CalRecycle staff, the Hearing Officer, and CalRecycle's witnesses.

What If I Don't Attend?

If you request a hearing and do not attend the hearing, CalRecycle can still proceed with the case against you. (If you do not request a hearing, CalRecycle will issue a Default Decision against you.)

What If I Need An Interpreter?

If you or a witness need a sign or language interpreter, immediately contact the CalRecycle attorney or Hearing Clerk so that a certified interpreter can be provided. Normally, it is not sufficient to bring a friend or relative to interpret for you.

Will The Hearing Location Be Accessible To People With Disabilities?

Hearing locations are to be accessible to persons with disabilities. However, check in advance with CalRecycle's Hearing Clerk to assure accessibility. In addition, if you know persons who plan to attend have special needs that require reasonable accommodation, please contact CalRecycle's Hearing Clerk as soon as possible, so arrangements can be made.

What Is The Contact Information For CalRecycle's Hearing Clerk?

All tire hearing papers must be filed with the Hearing Clerk in CalRecycle's Legal Office at the street address, fax number or email address below.

Hearing Clerk- TIR
CalRecycle Legal Office
801 K Street, MS 19-03
Sacramento, CA 95814
916-327-0089
916-322-8768 FAX
HearingClerk.TIR@CalRecycle.ca.gov

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CalRecycle Tire Hearing Subpoena Information

CalRecycle Tire Hearing Subpoenas cannot be used in CalRecycle Division of Recycling hearings.

Can I subpoena witnesses to testify at the hearing?

Subpoenas may be issued to require witnesses to attend and testify at CalRecycle tire hearings. Witnesses are entitled to payment for their time and travel. Please see "I have received a subpoena to be a witness in a case. Can I be paid?" below.

I want to receive copies of records held by someone else for my administrative hearing. How do I get them?

You are entitled to request discovery of the information CalRecycle has to prove the charges made against you or enable you mount a defense against them. Please see *Representing Yourself in a CalRecycle Tire Hearing* for more information.

You may also subpoena records from individuals, businesses, and government agencies to be produced at your hearing. A subpoena duces tecum means "bring with you under penalty of law" and compels the party served to bring records that they have to the hearing and to verify that the documents or records have not been altered. That may be done by declaration or by direct testimony, as you require.

The form on the internet is not signed. Can I sign it?

Only CalRecycle Hearing Officers or attorneys licensed in California acting on behalf of a party may sign a subpoena. If you are proceeding without an attorney, contact CalRecycle's Hearing Clerk to obtain a blank subpoena form signed by a Hearing Officer.

Hearing Clerk- TIR
CalRecycle Legal Office
801 K Street, MS 19-03
Sacramento, CA 95814
916-327-0089
916-322-8768 FAX
HearingClerk.TIR@CalRecycle.ca.gov

What laws should I look at before sending a subpoena or a subpoena duces tecum?

Code of Civil Procedure sections 1985-1987
Government Code sections 11450.05-11450.50, 68092.5-68093, and 68096.1-68097.10.

What laws should I look at before sending a subpoena or a subpoena duces tecum?

Code of Civil Procedure sections 1985-1987
Government Code sections 11450.05-11450.50, 68092.5-68093, and 68096.1-68097.10.

I have received a subpoena to be a witness in a case. Can I be paid?

Yes, according to Government Code Sections 11450.05 and 11450.50, you may request witness fees and mileage actually traveled both ways. You may request payment in advance of the hearing from the party at whose request the subpoena or subpoena duces tecum is issued, which appears in item 1 of the CalRecycle Tire Hearing Subpoena form. See Government Code sections 11450.05, 11450.50, 68092.5-68093, and 68096.1-68097.10.

Name: _____

Address: _____

Telephone: _____

Fax (optional): _____

Email (optional): _____

STATE OF CALIFORNIA

DEPARTMENT OF RESOURCES RECYCLING & RECOVERY

In the matter of:	)	**REQUEST FOR DISCOVERY**
_____	)	
_____	)	(Government Code Section 11507.6)
_____	)	RESOURCES CODE SECTION 42960
	)	
TPID NO: _____	)	AGENCY NO: ___-_____-____
	)	
_____		

TO: The Department of Resources Recycling & Recovery ("CALRECYCLE"), under Government Code Section 11507.6, I, _____ request the following:

1. The names and addresses of all witnesses to the extent known by you, including, but not limited to, those you intend to call to testify at the hearing, and

2. An opportunity to inspect and copy all of the following matters under Government Code Section 11507.6(a)-(f) that are in your possession, custody or control:

(a) A statement of any person, or regarding any entity named in the initial pleading when it is claimed in the pleading that any respondent's act or omission regarding this person or entity is the basis for this administrative proceeding;

(b) A statement pertaining to the subject matter of the pleading made by any party to another party or person;

(c) Statements of witnesses proposed to be called to testify and of other persons having personal knowledge of the acts, omissions, or events that are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including but not limited to reports and things that you propose to offer in evidence;

(e) Any other writing or thing that is relevant and would be admissible in evidence.

(f) Investigative reports made by or on behalf of you or any other party pertaining to the subject matter of the proceedings, to the extent that these reports:

(1) Contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions, or events that are the basis for the proceedings, or

(2) Reflect matters perceived by the investigator in the course of his or her investigation, or

(3) Contain or include by attachment any statement or writing described in (a) to (e) above, or a summary thereof.

3. This request is not intended to require inspection, copying or production of any writing which is privileged from disclosure by law or protected as attorney's work product.

4. This is a continuing request for discovery of any of the above stated matters that may come into your possession at any time before the hearing.

Specifically, in response to the discovery requested above, pursuant to Government Code 11507.6, I request the following evidence relevant to this matter:

_____

_____

_____

_____

_____

Signature: _____

Date: _____

Please send completed form to:

CalRecycle Legal Office
Attention: Gloria Bell
P.O. Box 4025, MS 24B
Sacramento, Ca 95812-4125