

*Integrated Waste Management
Board Grants Program
Compliance with Permitting and
Licensing Requirements
Supplemental Report to the Legislature*

November 2003

STATE OF CALIFORNIA

Gray Davis
Governor

Winston H. Hickox
Secretary, California Environmental Protection Agency

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Overview and Summary

This report has been prepared in response to a request in the Supplemental Report (Report) of the 2002 Budget Act, 2002–03 fiscal year, compiled by the Legislative Analyst’s Office in September 2002. The report contains statements of intent or requests for studies adopted by the Legislature. A request in the report, Item 3910-001-0226, directs the California Integrated Waste Management Board (Board) to prepare and submit a supplemental report to the Legislature by December 1, 2002. The report must describe how the Board ensures compliance by recipients of its grant programs with all State and local permitting and licensing requirements. Specifically, the report item request states, “This report shall include but is not limited to:

- (a) information on all grant programs, identifying those that provide for self certification;
- (b) grant programs in which the Board requires verification;
- (c) Board efforts to verify compliance; and,
- (d) impacts on the Board if it were to verify for itself if applicants for its grant programs were complying with applicable environmental laws and regulations.”

Based on this request, staff reviewed the general Board grant process as it relates to eligibility requirements and verification of compliance with permits and licenses required for the grant project. On the basis of that review, staff prepared an initial draft of a proposed policy to ensure that all of the Board’s grant programs address the permit and licensing requirements in a consistent manner. In August 2002, the Board adopted a comprehensive policy on how permits and licenses should be addressed (see Attachment 1-Board Agenda Item 23). The policy directs that all grant applicants (private entities, nonprofit organizations, and governmental agencies) be required to certify compliance, under penalty of perjury, with all required permits, licenses, and filings, both during the application process and during the grant agreement/funding process.

In order to facilitate identifying the critical permits of concern to the Board, staff also prepared a checklist of permits to be used by grant applicants as part of the certification of compliance. The Board approved the permit/license checklist and certification language in Attachment 2 for use as part of all Board grant applications and grant agreements in August 2002, at the same time the permit/license policy was approved.

The Board now requires all grant applicants (private entities, nonprofit organizations, and governmental agencies) to submit the permit/license checklist as part of the application and grant award process, and the checklist is also included as part of the grant agreement. This requirement ensures consistency and fairness toward all applicants. In certain cases, however, it may not be appropriate to require completion of the checklist. For example, the Board’s entitlement grant programs are awarded based on population, as directed in statute. For situations such as these, staff may request an exception to the checklist requirement during Board consideration of eligibility requirements and scoring criteria prior to publicly noticing that the grant funds are available.

Background

Currently the Board has seventeen grant programs, which are described in the enclosed grants brochure titled “Money I\$ Green!” (Attachment 3). Thirteen of these grants are available only to governmental entities. The remaining four are available to private entities: the Waste Tire Product Commercialization and Research Grant; the Energy Recovery From Tires Grant; the Used Oil Nonprofit Grant; and the Used Oil Research, Testing, and Demonstration Grant.

Of the seventeen current grant programs, most have a three-year grant cycle in which the grant project must be performed. A Board grant manager is assigned to every grant awarded by the Board. With the exception of the entitlement grants, the Used Oil Block Grant, the Local Enforcement Agency Grant, and extreme hardship cases (which receive advance payment), all grant funds are paid in arrears. For these grants, the primary responsibilities of the grant manager include monitoring of the grant project and ensuring that grant funds are paid only where appropriate. The grant manager performs these duties by reviewing regularly scheduled grant progress reports and payment requests with supporting documentation, maintaining ongoing communication with the grantee, and, if appropriate, visiting the grant project site.

Prior to adoption of the August 2002 permit/license policy, with few exceptions, Board grant projects required some form of permit/license compliance. This ranged from ministerial permits/licenses, such as city and county business licenses, to discretionary permits/licenses, such as conditional use permits. However, the Board did not have a policy to specify how to obtain or verify permits/licenses.

At the time staff began the review of the process, no grant program specifically required full permit/license compliance as an eligibility criterion. The Waste Tire Product Commercialization Grant Program and the Energy Recovery From Tires Grant Program required submission of documentation of permits/licenses with the application; however, permits/licenses acquired after award were also considered. Board grant programs varied in the manner in which permit/license compliance was addressed. Where applicable, grant program staff verified compliance with Board permits. However, typically staff looked to the subject regulatory authority to enforce its regulatory responsibilities.

All Board grant programs previously shared the following features:

All applications required the applicant to certify under penalty of perjury that the information provided in the application was true and correct. The certification did not specifically address the permit/license issue.

All grant applicants had to certify under penalty of perjury that, if awarded a grant, they would conduct their grant projects in compliance with the principles of environmental justice, as defined by statute.

Where applicable to the grant project, Board staff verified applicant/grantee compliance with Board permits.

All grant agreements included other compliance-related provisions and requirements.

Conclusions

In order to ensure that all applicants are immediately ready and able to perform the grant, and to help eliminate the possibility that a grantee might not be able to obtain the necessary permits/licenses under the policy adopted in August 2002, applicants are now required to sign a certification that all required permits/licenses either have been or will be obtained. If permits/licenses have not been obtained, the applicant would be required to describe what is being done to obtain them.

In order to ensure that the applicant fully understands the scope and the intent of the certification, the applicant is now required to complete a checklist detailing the critical permits/licenses required for the project, using the checklist included as Attachment 2. By using a Board-developed checklist, the applicant will focus on those permits and licenses the Board deems critical. The checklist provides the Board and its grant managers an additional oversight tool through which the Board can ensure that critical permits and licenses have either been obtained or are being obtained.

Currently, after the grant award, the grantee must return the signed grant agreement to the Board, and must pay all outstanding debt owed to the Board within 90 days of the date the grant agreement is mailed. The grant agreement contains language certifying that the “grantee shall comply fully with all applicable federal, state and local laws, ordinances, regulations, and permits” and specifying that the grantee must provide evidence of such upon request. Failure to comply with the grant agreement would be a breach of contract that could result in non-payment of grant funds to the grantee; reimbursement by the grantee of funds paid to them; termination of the grant; and placing the grantee on the Board’s unreliable contractors list.

As part of the August 2002 policy, as an additional condition of the grant award, the grantee is required to update the permit/license checklist submitted in the application phase and sign a new certification. For entitlement grants, approval of advanced funds will not be given, and for non-entitlement grants, payment of funds will not be made, unless the grantee submits the updated checklist and certification.

During the project management phase, the grant manager is to use the permit/license checklist as part of the oversight of the project. Finally, all grants are now subject to Board and Department of Finance audits. A new component will examine grantee files to verify permits/licenses, utilizing the checklist of critical permits/licenses.

Attachments

1. August 2002 Board Agenda Item 23 and Board Resolution Number 2002-462
2. General Checklist of Business Permits, Licenses, and Filings
3. Grants Brochure: “Money I\$ Green!”

California Integrated Waste Management Board

Board Meeting

August 20-21, 2002

AGENDA ITEM 23

ITEM

Consideration Of Grant Eligibility And Qualifying Requirements For Permits And Other Specialized Licenses.

I. SUMMARY

This item requests the California Integrated Waste Management Board's (Board) consideration of grant eligibility and grant application qualifying requirements for permits and licenses. This agenda item has been prepared in response to criticism made by a grant applicant regarding eligibility requirements and verification of licenses/permits for a recently awarded Board grant program. In addition, in April 2002, Assembly Budget Subcommittee #3 directed the Board in Supplemental Report language to submit a report to the Legislature by December 1, 2002, describing how the Board ensures compliance by recipients of its grant programs with all State and local permitting and licensing requirements. The information developed for this agenda item, and the Board's determination on how these issues will be addressed, will form the basis for the report to the Legislature.

II. PREVIOUS BOARD ACTION

The Board has previously approved application eligibility criteria for specific grant programs, but has not adopted a comprehensive policy on how permits and licenses would be addressed for all programs. At its June 2002 Board meeting, the Board determined that all grant applicants (private, non-profits and local and state government) should be required to certify, under penalty of perjury, that all necessary permits and licenses have been obtained, or that the grant applicant is in the process of obtaining them. In order to facilitate identifying the critical permits of concern to the Board, staff was directed to prepare a checklist of permits to be used by grant applicants as part of the certification of compliance. This agenda item presents options to the Board to determine which type of checklist will be used by grant applicants, and to determine the applicability of the checklist requirement.

III. OPTIONS FOR THE BOARD

Part 1—Checklist/certification:

1. Approve the permit/license checklist and certification language in Attachment 1 for use as part of all grant applications and grant agreements.
2. Approve only the certification language from Attachment 1, and require all grant applicants to generate a list of critical permits and licenses as part of all grant applications and agreements.

Attachment 1

3. Approve only the certification language from Attachment 1, and require Board staff to generate a checklist that is specific to each grant program at the start of each grant solicitation, for use as part of all grant applications and agreements.
4. Approve only the certification language from Attachment 1 for all grant applicants and do not require the use of a permit/license checklist.

Part 2—Applicability of Permit/License Checklist Requirement:

1. Require all grant applicants (private entities, non-profit organizations, and governmental agencies) to submit the permit/license checklist as part of the application and grant agreement.
2. Require only private entities and non-profit organizations to submit the permit/license checklist as part of the application and grant agreement.
3. Require all grant applicants (private entities, non-profit organizations, and governmental agencies) to submit the permit/license checklist as part of the application process and as part of the grant agreement, unless staff request an exception to the checklist requirement at the time of Board consideration of the eligibility requirements and the scoring criteria, and the Board determines that the checklist is not necessary for that particular grant.

IV. STAFF RECOMMENDATION

Part 1: Staff recommend Option 1: Approve the permit/license checklist and certification language in Attachment 1 for use as part of all grant applications and grant agreements.

Part 2: Staff recommend Option 3: Require all grant applicants (private entities, non-profit organizations, and governmental agencies) to submit the permit/license checklist as part of the application process and as part of the grant agreement, unless staff request an exception to the checklist requirement at the time of Board consideration of the eligibility requirements and the scoring criteria, and the Board determines that the checklist is not necessary for that particular grant.

V. ANALYSIS

Background

Currently the Board has seventeen grant programs, which are described in Attachment 2. Thirteen of these grants are available only to governmental entities. The remaining four are available to private entities: the Waste Tire Product Commercialization and Research Grant; the Energy Recovery From Tires Grant; the Used Oil Non-Profit Grant; and the Used Oil Research, Testing and Demonstration Grant.

In response to criticism made by a grant applicant regarding eligibility requirements and verification of licenses/permits for a recently awarded grant program, staff has reviewed the general Board grant process as it relates to eligibility requirements and verification of compliance with permits and licenses required for the grant project (permits/licenses). Additionally, Assembly Budget Subcommittee #3 has requested that the Board submit on or

Attachment 1

before December 1, 2002, a report detailing how the Board ensures compliance with all State and local permitting and licensing requirements by recipients of any Board grant program. Specifically, the request states, “[t]he report shall include but is not limited to:

- (1) Information on all grant programs, identifying those that provide for self certification;
- (2) Grant programs in which the Board requires verification;
- (3) Board efforts to verify compliance; and,
- (4) Impacts on the Board if it were to verify for itself if applicants for its grant programs were complying with applicable environmental laws and regulations.”

Key Issues

During the June 2002 Board Meeting, the Board determined that all grant applicants should be required to certify compliance, under penalty of perjury, with all required permits, licenses and filings, both during the application process, and during the grant agreement/funding process. The key issues discussed in this agenda item are as follows:

1. Whether a permit/license checklist identifying critical permits/licenses of concern to the Board should be developed by Board staff for use by grant applicants; or should the applicant be required to provide this checklist.
2. Whether the permit/license checklist requirement should be applicable to all grant applicants (private entities, non-profit organizations, and governmental agencies).

Current Board Practice

Of the seventeen current grant programs most have a three-year grant cycle in which the grant project must be performed. A Board grant manager is assigned to every grant awarded by the Board. With the exception of the entitlement grants, the Used Oil Block Grant and the Local Enforcement Agency Grant, and extreme hardship cases (which receive advance payment), all grant funds are paid in arrears. For these grants, the primary responsibilities of the grant manager include monitoring of the grant project and ensuring that grant funds are paid only where appropriate. The grant manager performs these duties by reviewing regularly scheduled grant Progress Reports and Payment Requests with supporting documentation, maintaining ongoing communication with the grantee, and, if appropriate, visiting the grant project site.

With few exceptions, Board grant projects require some form of permit/license compliance. These can range from ministerial permits/licenses, such as city and county business licenses, to discretionary permits/licenses, such as conditional use permits. There is no Board policy addressing when the permits/licenses must be obtained or how they must be verified. At this time, no grant program specifically requires full permit/license compliance as an eligibility criterion. The Waste Tire Product Commercialization Grant Program and the Energy Recovery From Tires Grant Program require submission of documentation of permits/licenses with the application; however, permits/licenses acquired after award may be acceptable. Board grant programs vary in the manner in which permit/license compliance is addressed. Where applicable, grant program staff verify compliance with Board permits; however, typically, they look to the subject regulatory authority to enforce its regulatory responsibilities.

Attachment 1

All Board grant programs share the following features. First, all applications require the applicant to certify under penalty of perjury that the information provided in the application is true and correct. This certification does not specifically address the permit/license issue. Second, all grant applicants must certify under penalty of perjury that, if awarded a grant, they will conduct their grant projects in compliance with the principles of environmental justice, as defined by statute. Third, where applicable to the grant project, Board staff verifies applicant/grantee compliance with Board permits. Fourth, all Grant Agreements include compliance-related provisions, including a detailed compliance requirement.

Analysis

Part 1—Checklist/certification:

During the application process, the grant applicant is required to certify that information provided in the application is true and correct, but not all grant applications specifically reference permits/licenses. In order to ensure that all applicants are immediately ready and able to perform the grant, and to help eliminate the possibility that a grantee might not be able to obtain the necessary permits/licenses, staff have proposed that applicants should be required to sign a certification that all required permits/licenses either have been or will be obtained. If permits/licenses have not been obtained, the applicant would be required to describe what is being done to obtain them. In order to ensure that the applicant fully understands the scope and the intent of the certification, staff propose that the applicant be required to complete a checklist detailing the critical permits/licenses required for the project, using the checklist included in this item as Attachment 1. Board staff prepared this checklist of critical permits and licenses for completion by the applicant based upon Board Member input and direction at the June 2002 Board meeting.

Staff also considered three other options: 1) requiring the applicant to list critical permits/licenses; 2) requiring Board staff to develop a permit/license checklist specific to each grant program at the beginning of the grant process; and 3) not requiring submittal of a checklist. Staff are recommending requiring the checklist developed by the Board (Attachment 1) rather than only requiring certification language in order to provide the Board and its grant managers an additional oversight tool through which the Board can ensure that critical permits and licenses have either been obtained or are being obtained. By using a Board developed checklist, the applicant will focus on those permits and licenses the Board deems critical. This is consistent with Board direction to staff during the June 2002 Board meeting.

Currently, after the grant award, the grantee must return the signed Grant Agreement to the Board, and must pay all outstanding debt owed to the Board within 90 days of the date the Grant Agreement was mailed. The Grant Agreement contains language certifying that the “grantee shall comply fully with all applicable federal, state and local laws, ordinances, regulations, and permits” and specifying that the grantee must provide evidence of such upon request. Failure to comply with the Grant Agreement would be a breach of contract that could result in non-payment of grant funds to the grantee; reimbursement by the grantee of funds paid; termination of the grant; and placing the grantee on the Board’s Unreliable Contractors List. As an additional condition of the grant award, staff propose that the grantee be required to update the permit/license checklist submitted in the application phase and sign a new certification. For entitlement grants, approval of advanced funds would not be given, and for non-entitlement

Attachment 1

grants, payment of funds would not be made, unless the grantee submits the updated checklist and certification.

During the project management phase, the grant manager will use the permit/license checklist as part of the oversight of the project. Finally, all grants will be subject to Board and Department of Finance audits, where a component will be added that will examine grantee files to verify permits/licenses, utilizing the checklist of critical permits/licenses. This is consistent with Board direction during the June 2002 Board meeting.

Part 2—Applicability of Permit/License Checklist Requirement:

Staff considered three options: 1) requiring all applicants (private, non-profit and governmental entities) to complete and submit the checklist in Attachment 1 as part of the application and award process; 2) requiring only private and non-profit entities to complete and submit the checklist; and 3) requiring all applicants to complete and submit the checklist, unless an exception to the requirement is requested by staff and approved by the Board. Staff is recommending that the checklist approved by the Board be required of all applicants as part of the application and grant award processes in order to ensure consistency and fairness toward all applicants. In certain cases, however, it may not be appropriate to require completion of the checklist. For example, the Board's entitlement grant programs are awarded based on population, as directed in statute. For situations such as these, staff propose that the Board approve a process whereby staff will request an exception to the checklist requirement during Board consideration of eligibility requirements and scoring criteria, prior to publicly noticing that the grant funds are available.

VI. FUNDING INFORMATION

Amount Available to Fund this Item: \$ N/A

Amount Proposed to Fund Item: \$ N/A

VII. ATTACHMENTS

1. General Checklist of Business Permits, Licenses, and Filings
2. California Integrated Waste Management Board Grant Programs
3. Resolution Number 2002-462

VIII. CONTACTS

Name: Rubia E. Packard

Phone: (916) 341-6289

Name: Marie Carter

Phone: (916)341-6062

CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD

Resolution 2002-462

WHEREAS, the Board currently has seventeen grant programs, thirteen of which are available only to governmental entities, and four to private entities; and

WHEREAS, the Assembly Budget Subcommittee #3 has requested that the Board submit on or before December 1, 2002, a report detailing how the Board ensures compliance with all State and local permit and licensing requirements of recipients of any of the Board's grant programs; and

WHEREAS, from fiscal year 1995/1996 to the present, the Board has awarded more than \$171 million in grants, and has a long and successful history of ensuring permit/license compliance; and

WHEREAS, in order to continually improve Board processes and in order to respond to the Legislature, Board staff have reviewed the general Board grant process as it relates to eligibility requirements and verification of compliance with permits and licenses required for grant projects; and

NOW, THEREFORE, BE IT RESOLVED that the Board approves the staff recommendation, Option 1 under Part 1 - Checklist/Certification, to: Approve the permit/license checklist and certification language in Attachment 1 for use as part of all grant applications and grant agreements; and,

BE IT FURTHER RESOLVED that the Board approves the staff recommendation, Option 3 under Part 2 - Applicability of Permit/License Checklist Requirement, to: Require all grant applicants (private entities, non-profit organizations, and governmental agencies) to submit the permit/license checklist as part of the application process and as part of the grant agreement, unless staff request an exception to the checklist requirement at the time of Board consideration of the eligibility requirements and the scoring criteria, and the Board determines that the checklist is not necessary for that particular grant.

CERTIFICATION

The undersigned Executive Director, or his designee, of the California Integrated Waste Management Board does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the California Integrated Waste Management Board held on August 20-21, 2002.

Dated: August 20, 2002

[ORIGINAL SIGNED BY DESIGNEE]

Mark Leary
Executive Director

General Checklist of Businesses Permits, Licenses, and Filings^(a)

Agency Name _____	
Grant Name and Cycle _____	Grant # _____
Date Submitted _____	Date Updated _____

PLEASE CHECK THE APPROPRIATE BOX FOR EACH PERMIT/LICENSE/FILING (DO NOT attach or include copies of the permits/licenses/filings. KEEP all permits/licenses/filings available in grant file for audit.)

Applicant/Grantee has this current valid Permit/License/Filing		
Applicant/Grantee will obtain and/or modify this Permit/License/Filing		
This Permit/License/Filing is not applicable for the business or the proposed project. Insert "N/A" in each applicable green box.		
N/A	LOCAL GENERAL BUSINESS	REGULATOR OR ISSUER
	Land Use Permit/Zoning Clearance/Conditional Use Permit	City or County if unincorporated area
	Building Construction Permit	City or County
	Business License (not required for unincorporated county areas)	City
	Fictitious Business Name Filing	County
	Permit By Rule (PBR) for Permanent HHW Facilities or Temporary Collection Events	City, County or Cal/EPA-DTSC
	ABOP Notification	CUPA or Cal/EPA-DTSC
	Waste Tire Facilities Permit	Cal/EPA - CIWMB
	Waste and Used Tire Hauler Registration	Cal/EPA - CIWMB
	Hazardous Waste Haulers Permit	Cal/EPA – DTSC
	Waste Hauler Permit	City or County
	STATE PERMITS AND FILINGS	
	Solid Waste Facilities Permit	Cal/EPA – CIWMB
	State EPA Identification Number	Cal/EPA – DTSC
	Industrial Activities Storm Water General Permit	Cal/EPA – WRCB
	Waste Discharge Requirements	Cal/EPA – WRCB
	Corporate, Company or Partnership Filings	Ca. Secretary of State
	Authority to Construct/Permit to Operate	Air Quality Management District
	Non-Profit Organization 501 (C) (3)	Secretary of State
	Prop. 65 Safe Drinking Water & Toxic Enforcement Act	State Health & Safety Code 25249.10
	FEDERAL PERMITS AND FILINGS	
	US EPA Identification Number	US EPA
	US EPA – NPDES and/or NSR Permits	US EPA
	OTHER PERMITS	

(a) This list is not intended to be all-inclusive. Grant applicant may attach additional information for other critical permits/licenses/filings not listed above.

NOTES:

General Checklist of Business Permits, Licenses and Filings

<p>PRIVATE ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) is in good standing and qualified to do business in the State; and 2) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 3) where compliance has not been met, I have attached a letter describing what has been done to achieve full compliance.</p>

<p>PUBLIC ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 2) where compliance has not been met, have attached a letter describing what has been done to achieve full compliance.</p>
--

Executed at _____ on _____
City and State Date

Grant Applicant / Authorized Signatory Name and Title (print)

Signature of Grant Applicant / Authorized Signatory

Note: Falsification under penalty of perjury may result in criminal and civil penalties. In addition, pursuant to the terms of the grant agreement, any misrepresentations in the above certification shall constitute a breach of contract that could result in non-payment of grant funds to the grantee; relinquishment by the grantee of funds previously paid; termination of the grant; and/or placing the grantee on the Board's Unreliable Contractors List.

Money i\$ Green brochure

HOUSEHOLD

HOUSEHOLD HAZARDOUS WASTE (HHW) GRANTS are awarded to local governments and agencies for collection and management of HHW. CIWMB awards up to \$3 million annually for programs that reduce the amount of HHW disposed at landfills. Eligibility is limited to California cities, counties, and local agencies responsible for waste management. This is a competitive grant to establish HHW programs such as: (1) collection programs, (2) educational programs, (3) load-checking programs, and (4) programs emphasizing waste reduction, source reduction, reuse, or recycling of HHW. Proposed activities should complement local and regional HHW management and used oil programs. Additional consideration will be given to proposals addressing statutory priorities (regional programs, small cities and rural and under-served areas) and to those describing the greatest need. For additional information, see www.ciwmb.ca.gov/HHW/ or contact the Household Hazardous Waste Grant Program at (916) 341-6457.

For general information about grant programs funded by the CIWMB, visit our Web site at www.ciwmb.ca.gov/Grants/ or contact the Grants Administration Unit at (916) 341-6170.

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OTHeR

OTHER GRANTS
FARM AND RANCH CLEANUP GRANTS are grants available to local governments for cleanup of illegal solid waste sites on farm or ranch property. For further information on this grant program, see www.ciwmb.ca.gov/LEACentral/Grants/Loans/FarmRanch/ or call (916) 341-6349.

LOCAL ENFORCEMENT AGENCY (LEA) GRANTS are entitlement grants available to LEAs. This grant is based on population and the number of active, permitted solid waste facilities to assist LEAs in the permit and inspection programs of their solid waste facilities. For further information on this grant program, see www.ciwmb.ca.gov/LEACentral/Grants/Loans/LEA/ or call (916) 341-6813.

REUSE ASSISTANCE GRANTS are competitive grants available to public agencies (that may partner with a California nonprofit or commercial business) to provide incentives to promote and apply the concept of reuse to their business communities. For further information on this grant program, see www.ciwmb.ca.gov/Reuse/Grants/ or call (916) 341-6613.

LEARN MoRE ABOUT our GRANTS ONLINE!

GRANTS

OTHER GRANTS
SOLID WASTE DISPOSAL and SITE CLEANUP GRANTS are competitive grants available to local governments. These grants can be used to finance a wide range of remediation projects at solid waste disposal sites where there is a threat to public health and safety or the environment, and where the responsible party is unable or unwilling to perform the timely remediation or is unidentified. For further information on this grant program, see www.ciwmb.ca.gov/LEACentral/Grants/Loans/SolidWaste/ or call (916) 341-6349.

SUSTAINABLE BUILDING GRANTS are competitive grants available to local governments for projects that advance the use of "green building" design and construction practices in California. For further information on this grant program, see www.ciwmb.ca.gov/GreenBuilding/Grants/ or call (916) 341-6470.

ENVIRONMENTAL EDUCATION ASSISTANCE GRANTS are competitive grants for county offices of education, school districts, and schools to help fund innovative projects that blend campus waste reduction programs with instructional strategies as a means to impact student learning. A total of \$1.5 million has been allocated and will be disbursed over two grant cycles. This grant was authorized by SB 373 which became effective January 2002. The first grant cycle is anticipated for spring 2003. For further information on this grant program, see www.ciwmb.ca.gov/Schools/SB373/.

ONLINE RESOURCE

UPDATED

MONEY i\$ GREEN!
 Grant OPPORTUNITIES FROM the CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD

GRANTS ONLINE

INTRODUCTION

THE CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD (CIWMB) OFFERS A VARIETY OF GRANT FUNDING OPPORTUNITIES AUTHORIZED BY LEGISLATION TO ASSIST PUBLIC AND PRIVATE ENTITIES IN THE SAFE AND EFFECTIVE MANAGEMENT OF CALIFORNIA'S WASTE STREAM. The following summaries provide a brief overview of the CIWMB's grant programs available when this brochure was printed. The most up-to-date and complete grant information can always be found by checking the individual Web sites referenced below or at the CIWMB's Grants Web site at www.ciwmb.ca.gov/Grants/.



Oil

USED OIL GRANTS are designed to increase used oil collection and recycling opportunities, thereby reducing the potential for illegal disposal. The California Oil Recycling Enhancement Act mandates the CIWMB to collect fees on sales of lubricating oil to fund activities that discourage the illegal disposal of used oil. Between \$17 and \$20 million is available annually for all used oil grant programs.

BLOCK GRANTS are noncompetitive grants to establish and maintain used oil and filter collection programs. Grants are calculated at approximately \$0.31 per capita using the Department of Finance's population statistics. However, small jurisdictions are guaranteed a minimum award of \$5,000 for cities and \$10,000 for counties. Jurisdictions may also apply regionally and pool their funds.

Eligible applicants are limited to local governments, which are defined in statute as "any chartered or general law city, chartered or general law county or any city and county". The applicant's local used oil collection program must include used oil and used oil filter collection opportunities and a public education element.

- Examples of eligible project types include:
- (1) Continuation of a current project.
 - (2) Establishment of used oil and used oil filter collection opportunities.
 - (3) Establishment or expansion of a curbside collection program.
 - (4) Purchase of used oil and used oil filter collection equipment and supplies.
 - (5) Development of stormwater pollution prevention programs.
 - (6) Development and distribution of public and/or school education materials.

OPPORTUNITY GRANTS are competitive grants that can provide additional funding to augment or expand oil collection and informational programs established by the used oil block grants. Eligible applicants are limited to local governments, as defined above. Awards totaling \$5-\$7 million are made every other year.

NONPROFIT GRANTS are competitive grants to nonprofit organizations (those having a section 501(c)(3) determination from the Internal Revenue Service) for used oil collection and education programs. The estimated funding available for used oil nonprofit grants is \$3 million every other year.

RESEARCH, TESTING, and DEMONSTRATION GRANTS are competitive grants open to local governments, nonprofit organizations, and any entity pursuing research, testing and demonstration projects to develop collection technologies and uses for recycled or used oil. The estimated funding available for these grants is \$2 million every other year. For further information, see www.ciwmb.ca.gov/UsedOil/ or contact the Used Oil Grant Program at (916) 341-6457.

TIREs

TIRE RECYCLING, CLEANUP AND ENFORCEMENT GRANTS are designed to encourage activities that promote reducing the number of waste tires going to landfills for disposal and eliminating the stockpiling of waste tires. Activities include tire pile cleanup and enforcement, market development, and demonstration projects. Revenue for the grants is generated from a \$1.00-per-tire fee on each new tire sold in California.

During its annual consideration of tire program activities, the CIWMB determines grant programs and applicant eligibility. Prior programs have focused on research, business assistance, market development, product procurement, amnesty events, tire pile cleanup, and enforcement. Eligible applicants are listed for each grant program.

LOCAL GOVERNMENT WASTE TIRE CLEANUP GRANTS are grants for tire cleanup projects awarded to local governments, including local enforcement agencies (LEA), county and city departments, fire districts, code enforcement agencies, and qualifying California Indian organizations.

LOCAL GOVERNMENT PUBLIC EDUCATION and AMNESTY DAY GRANTS are competitive grants available to local governments and qualifying California Indian tribes to develop educational programs on the requirements for proper tire disposal and on ways to properly care for tires. The program also includes amnesty events aimed at the consumer to deal with nuisance tires and small tire pile cleanups.

WASTE TIRE TRACK and OTHER RECREATIONAL SURFACING GRANTS are competitive grants available to local governments and qualifying California Indian tribes for purchase and installation of recreational surfaces made from California waste tires.

WASTE TIRE PLAYGROUND COVER GRANTS are grants available to local public agencies and qualifying California Indian tribes for expenses related to playground surfacing that is manufactured using California waste tire rubber.

TIRE PRODUCT COMMERCIALIZATION and RESEARCH GRANTS are competitive grants available to research institutions, individuals, businesses, and qualifying California Indian tribes to assist with development of processes and technologies for commercializing products or processes using waste tires.

WASTE TIRE ENFORCEMENT GRANTS are competitive grants available to LEAs and cities and counties with regulatory authority within the city/county government throughout California for inspection, compliance, and surveillance activities, or surveillance activities only.

ENERGY RECOVERY FROM TIRES GRANTS are intended to assist California facilities with coal-fired combustion systems to incorporate or expand the use of tires as a fuel supplement. Up to \$250,000 for each project is available on a competitive basis. For further information on these grant programs, see www.ciwmb.ca.gov/Tires/Grants/ or contact the Tire Recycling Program Hotline at (916) 341-6441.

Green GRANTS