

**California Code of Regulations  
Title 14  
Division 7  
Chapter 5.9**

**California Integrated Waste Management Board  
Conflict of Interest Code**

**NOTE:** It having been found, pursuant to Government Code section 11344, that the printing of the regulations constituting the Conflict of Interest Code is impractical and being of limited and particular application, these regulations are not published in full in the California Code of Regulations. The regulations are available to the public for review or purchase at cost at the following locations:

CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD  
1001 I Street  
Sacramento, CA 95812

FAIR POLITICAL PRACTICES COMMISSION  
428 J STREET, SUITE 800  
SACRAMENTO, CA 95814

ARCHIVES  
SECRETARY OF STATE  
1020 "O" STREET  
SACRAMENTO, CA 95814

The Conflict of Interest Code is designated as Chapter 5.9, Division 7 of Title 14, of the California Code of Regulations, and consists of sections numbered and titles as follows:

Chapter 5.9 — California Integrated Waste Management Board - Conflict of Interest Code

Section 18419 — General Provisions

————— Appendix I — Designated Positions and Assigned Disclosure Categories

————— Appendix II — Disclosure Categories

**CONFLICT OF INTEREST CODE FOR THE  
CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD**

§ 18419. General Provisions.

The Political Reform Act (Government Code section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference, in an agency's code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations section 18730 and any

~~amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the California Integrated Waste Management Board ("Board").~~

~~Designated employees shall file statements of economic interests with the Board. Upon receipt of the statements of the Board Members and the Executive Officer, the Board shall make and retain copies and forward the originals to the Fair Political Practices Commission. Statements for all other designated employees shall be retained with the Board and made available for public inspection and reproduction upon request. Government Code section 81008.~~

## **APPENDIX I**

### **DESIGNATED POSITIONS**

### **DISCLOSURE CATEGORIES**

#### **BOARD**

Board Member	1 through 5
Board Advisor	1 through 5
Committee Analyst	1 through 5
Senior IWMS	1 through 5

#### **EXECUTIVE OFFICE**

Executive Director	1 through 5
Chief Deputy Director	1 through 5
Staff Services Analyst	1 through 5
Associate Governmental Program Analyst	1 through 5

#### **LEGAL OFFICE**

Chief Counsel	1 through 5
Staff Counsel III	2 through 6
Staff Counsel	2 through 6

#### **LEGISLATIVE AND EXTERNAL AFFAIRS OFFICE**

Assistant Director	1 through 5
Associate Governmental Program Analyst	2, 3 and 4
Staff Services Analyst	2, 3 and 4
Staff Services Manager	2, 3 and 4

#### **OFFICE OF PUBLIC AFFAIRS**

Assistant Director	1 through 5
Committee Analyst	1 through 5
Information Officer	2 through 5

Staff Services Analyst	2 through 5
Associate Governmental Program Analyst	2 through 5
Supervisor of Technical Publications	4 through 5
Graphic Designer	4 through 5
Associate Editor	4 through 5

**POLICY AND ANALYSIS OFFICE**

Assistant Director	1 through 5
Committee Analyst	1 through 5
Senior Integrated Waste Management Specialist	2 through 5
Integrated Waste Management Specialist	2 through 5
Associate Governmental Program Analyst	2 through 5
Research Analyst	2 through 5

**ADMINISTRATION AND FINANCE DIVISION**

Deputy Director	1 through 5
Committee Analyst	1 through 5
Chief of Information Technology	4 and 5
Research Manager	4 and 5
Research Analyst	4 and 5
Staff Services Manager	4 and 5
Staff Services Analyst	4 and 5
Integrated Waste Management Specialist	4 and 5
Associate Governmental Program Analyst	4 and 5
Associate Management Auditor	4 and 5
Associate Programmer Analyst	4 and 5
Senior Information Systems Analyst	4 and 5
Associate Information Systems Analyst	4 and 5
Staff Information Systems Analyst	4 and 5
Data Processing Manager	4 and 5
Staff Programmer Analyst	4 and 5
Senior Programmer Analyst	4 and 5
Associate Information Systems Analyst	4 and 5
Associate Business Management Analyst	4 and 5
Senior Accounting Officer	4 through 5
Business Services Officer	4 through 5
Management Services Technician	4 through 5

**DIVERSION PLANNING AND LOCAL ASSISTANCE DIVISION**

Deputy Director	1 through 5
Committee Analyst	1 through 5
Supervising Integrated Waste Management Specialist	2 through 6
Senior Integrated Waste Management Specialist	2 through 6
Integrated Waste Management Specialist	2 through 6
Staff Services Analyst	2 through 6
Associate Government Program Analyst	2 through 6
Research Program Specialist	2 through 6

**PERMITTING AND ENFORCEMENT DIVISION**

Deputy Director	1 through 5
Committee Analyst	1 through 5
Supervising Integrated Waste Management Specialist	2 through 6

Senior Integrated Waste Management Specialist	2 through 6
Integrated Waste Management Specialist	2 through 6
Supervising Engineering Geologist	2 through 6
Senior Engineering Geologist	2 through 6
Associate Engineering Geologist	2 through 6
Engineering Geologist	2 through 6
Supervising Waste Management Engineer	2 through 6
Senior Waste Management Engineer	2 through 6
Waste Management Engineer	2 through 6
Research Manager	2 through 6
Research Analyst	2 through 6
Associate Government Program Analyst	2 through 6
Staff Service Analyst	2 through 6
Research Program Specialist	2 through 6
Associate Information Systems Analyst	2 through 6
Senior Industrial Hygienist	2 through 6
Associate Industrial Hygienist	2 through 6
Assistant Industrial Hygienist	2 through 6

**WASTE PREVENTION AND MARKET DEVELOPMENT DIVISION**

Deputy Director	1 through 5
Committee Analyst	1 through 5
Supervising Integrated Waste Management Specialist	2 through 6
Senior Integrated Waste Management Specialist	2 through 6
Integrated Waste Management Specialist	2 through 6
Supervising Waste Management Engineer	2 through 6
Senior Waste Management Engineer	2 through 6
Waste Management Engineer	2 through 6
Research Analyst	2 through 6
Associate Governmental Program Analyst	2 through 6
Staff Services Analyst	2 through 6
Staff Loan Officer	2 through 6
Loan Officer	2 through 6
Research Program Specialist	2 through 6
Associate Architect	1 through 5
Recycling Market Development Zone Loan Committee	1 through 5

**OFFICE OF ORGANIZATIONAL EFFECTIVENESS**

Assistant Director	4 and 5
Staff Services Manager	4 and 5
Associate Governmental Program Analyst	4 and 5
Staff Services Analyst	4 and 5
Senior Waste Management Engineer	4 and 5

**OFFICE OF EDUCATION AND THE ENVIRONMENT**

Assistant Director	4 and 5
Supervising Integrated Waste Management Specialist	4 and 5
Senior Integrated Waste Management Specialist	4 and 5
Integrated Waste Management Specialist	4 and 5

**SPECIAL WASTE DIVISION**

Deputy Director	2 through 6
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<del>Supervising Integrated Waste Management Specialist</del>	<del>2 through 6</del>
<del>Senior Integrated Waste Management Specialist</del>	<del>2 through 6</del>
<del>Integrated Waste Management Specialist</del>	<del>2 through 6</del>
<del>Supervising Waste Management Engineer</del>	<del>2 through 6</del>
<del>Senior Waste Management Engineer</del>	<del>2 through 6</del>
<del>Waste Management Engineer</del>	<del>2 through 6</del>
<del>Associate Governmental Program Analyst</del>	<del>2 through 6</del>
<del>Staff Services Analyst</del>	<del>2 through 6</del>
<del>Associate Engineering Geologist</del>	<del>2 through 6</del>
<del>Senior Engineering Geologist</del>	<del>2 through 6</del>
<del>Engineering Geologist</del>	<del>2 through 6</del>
<del>Consultant*</del>	<del>1 through 5</del>

~~\* The Executive Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

## **APPENDIX II**

### **DISCLOSURE CATEGORIES**

- ~~Category 1: All interests in real property located within the State of California.  
 (Positions assigned this category, as opposed to category 6, are those which have state-wide responsibilities and which are potentially involved with the types of issues that may affect real property.)~~
- ~~Category 2: Investments and business positions in business entities, and income from sources, that are subject to Board adopted state standards and requirements concerning solid waste management practices and facilities including, but not limited to those that are engaged in the operation of any solid waste disposal facility, resource recovery facility, transfer/processing station, transformation facility, waste tire facility, solid waste enterprise or composting facility.~~
- ~~Category 3: Investments and business positions in business entities, and income from sources, that are subject to Board adopted state standards and requirements, including, but not limited to trash bag manufacturers, newsprint manufacturers, and product manufacturers that use Rigid Plastic Packaging Containers~~

~~Category 4: Investments and business positions in business entities, and income from sources, of the type that receive financial or in-kind assistance from the Board.~~

~~Category 5: Investments and business positions in business entities, and income from sources, that have contracted with the Board to provide services, supplies, materials, machinery or equipment.~~

~~Category 6: Any interest in real property that has been used as, is currently being used as, may be used as, or is within 2500 feet of real property that has been used as, is currently being used as, or may be used by an operation or facility that is subject to Board-adopted state standards and requirements concerning solid waste management practices and facilities including, but not limited to those that are engaged in the operation of any as a disposal facility, resource recovery facility, transfer/processing station, transformation facility, waste tire facility, solid waste enterprise or composting facility; and is located in a county in which the designated employee provides planning, in-kind assistance, or enforcement responsibilities.~~

~~(Positions assigned this category, as opposed to category 1, are those which are potentially involved with the types of issues which may affect real property, but only in limited geographic areas.)~~

California Code of Regulations  
Title 14, Division 7, Chapter 5.9

**Department of Resources Recycling and Recovery (CalRecycle)  
Conflict of Interest Code**

**NOTE:** It having been found, pursuant to Government Code section 11344, that the printing of the regulations constituting the Conflict of Interest Code is impractical and being of limited and particular application, these regulations are not published in full in the California Code of Regulations. The regulations are available to the public for review or purchase at cost at the following locations:

DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY (CalRecycle)  
801 K Street, MS 19-01  
Sacramento, CA 95814

FAIR POLITICAL PRACTICES COMMISSION  
428 J STREET, SUITE 800  
SACRAMENTO, CA 95814

ARCHIVES  
SECRETARY OF STATE  
1020 "O" STREET  
SACRAMENTO, CA 95814

The Conflict of Interest Code is designated as Chapter 5.9, Division 7 of Title 14, of the California Code of Regulations, and consists of sections numbered and titles as follows:

Chapter 5.9	Department of Resources Recycling and Recovery
Section 18419	General Provisions Appendix A – Designated Positions and Assigned Disclosure Categories Appendix B – Disclosure Categories

Note: Authority cited: Sections 87300 et seq., Government Code  
Reference: Section 87300, et seq., Government Code.

## **Department of Resources Recycling and Recovery Conflict of Interest Code**

### § 18419. General Provisions.

The Political Reform Act (Government Code Sections 81000, et seq.), requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating officials and employees and establishing disclosure categories shall constitute the conflict of interest code of the Department of Resources Recycling and Recovery.

Designated employees shall file statements of economic interests with the Department. Upon receipt of the statements of the Director and members of Boards and Commissions, the Department shall make and retain a copy and forward the originals of these statements to the Fair Political Practices Commission. Statements for all other designated employees will be retained by the Department which shall make them available for public inspection and reproduction.

Note: Authority cited: Sections 87300 and 87306, Government Code. Reference: Sections 87300, et seq., Government Code.

**APPENDIX A**  
**DESIGNATED POSITIONS AND**  
**ASSIGNED DISCLOSURE CATEGORIES**

Individuals in the following positions are hereby required to file statements of economic interests in accordance with the disclosure categories indicated.

**EXECUTIVE**

Director	1 and 2
Chief Deputy Director	1 and 2
Assistant Director	1 and 2

**LEGAL OFFICE**

Chief Counsel	1 and 2
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**All employees in the following Legal Office classifications or series:**

Staff Counsel III	1 and 2
Staff Counsel	1 and 2

**LEGISLATIVE AND EXTERNAL AFFAIRS OFFICE**

Deputy Director	1 and 2
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**All employees in the following Legislative and External Affairs Office classifications or series:**

Associate Governmental Program Analyst	2
Staff Services Analyst	2
Staff Services Manager	2

**PUBLIC AFFAIRS OFFICE**

Deputy Director	1 and 2
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**All employees in the following Public Affairs Office classifications or series:**

Information Officer	6
Staff Services Analyst	6
Associate Governmental Program Analyst	6
Supervisor of Technical Publications	6
Graphic Designer	6
Associate Editor	6
Recycling Specialist	6

**ADMINISTRATION AND FINANCE DIVISION**

Deputy Director	1 and 2
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**All employees in the following Administration and Finance Division classifications or series:**

Research Manager	6
Research Analyst	6
Staff Services Manager	6
Staff Services Analyst	6
Integrated Waste Management Specialist	6

Associate Governmental Program Analyst	6
Associate Management Auditor	6
Associate Programmer Analyst	6
Associate Business Management Analyst	6
Senior Accounting Officer	6
Business Services Officer	6
Management Services Technician	6
Chief of Information Technology	7
Senior Information Systems Analyst	7
Associate Information Systems Analyst	7
Staff Information Systems Analyst	7
Data Processing Manager	7
Staff Programmer Analyst	7
Senior Programmer Analyst	7

**WASTE MANAGEMENT DIVISION**

Deputy Director	1 and 3
Assistant Director	1 and 3
Member, Recycling Market Development Zone Loan Committee	1 and 3

**All employees in the following Waste Management Division classifications or series:**

Supervising Integrated Waste Management Specialist	3 and 4
Senior Integrated Waste Management Specialist	3 and 4
Integrated Waste Management Specialist	3 and 4
Staff Services Analyst	3 and 4
Associate Government Program Analyst	3 and 4
Supervising Waste Management Engineer	3 and 4
Senior Waste Management Engineer	3 and 4
Waste Management Engineer	3 and 4
Loan Officer	3 and 4
Supervising Engineering Geologist	3 and 4
Senior Engineering Geologist	3 and 4
Associate Engineering Geologist	3 and 4
Engineering Geologist	3 and 4
Research Manager	3 and 4
Research Program Specialist	3 and 4
Research Analyst	3 and 4
Associate Information Systems Analyst	3 and 4
Senior Industrial Hygienist	3 and 4
Associate Industrial Hygienist	3 and 4
Assistant Industrial Hygienist	3 and 4
Associate Architect	3

**DIVISION OF RECYCLING**

Deputy Director	1 and 5
Deputy Chief	1 and 5
All Managers and Supervisors	1 and 5

**All employees in the following Division of Recycling classifications or series:**

Environmental Program Manager (non-supervisory)	5
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Management Services Technician	5
Staff Services Analyst	5
Associate Governmental Program Analyst	5
Recycling Specialist (non-supervisory)	5
Auditor	5
Research Analyst	5
Research Program Specialist	5
Graphic Designer	5
Environmental Scientist	5
Staff Services Manager	5
Research Manager	5

**Department-wide**

Consultant*	1 and 2
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\* Consultants are designated positions for the purposes of these provisions and shall disclose pursuant to the broadest disclosure category in the Code, subject to the following limitation.

The Department's Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

## APPENDIX B DISCLOSURE CATEGORIES

- Category 1: A designated employee in this category must report all interests in real property in the State of California.
- Category 2: A designated employee in this category must report investments and business positions in business entities, and income, including gifts, loans, and travel payments, from sources that:
- (a) Are subject to the regulatory, certification, registration, or licensing authority of the Department;
  - (b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department;
  - (c) Are of the type that receive grants, financial or in-kind assistance, or other payments from the Department; and/or
  - (d) Are the subject of collection activity by the Department.
- Category 3: A designated employee in this category must report investments and business positions in business entities, and income, including gifts, loans, and travel payments, from sources that:
- (a) Are subject to the regulatory, certification, registration, or licensing authority of the Division of Waste Management;
  - (b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Division of Waste Management;
  - (c) Are of the type that receive grants, financial or in-kind assistance, or other payments from the Division of Waste Management; and/or
  - (d) Are the subject of collection activity by the Division of Waste Management.
- Category 4: A designated employee in this category must report interests in real property located in a county in which the employee has enforcement responsibilities or provides planning, financial, or in-kind assistance, and the real property is located at or within 2500 feet of the boundary of a parcel of land that has been used, is currently being used, or may be used by an operation or facility that is subject to standards and requirements adopted by the Division of Waste Management concerning solid waste management practices and facilities, including, without being limited to, the operation of a disposal facility, resource recovery facility, transfer/processing station, transformation facility, waste tire facility, solid waste enterprise or composting facility.
- Category 5: A designated employee in this category must report investments and business positions in, and income, including gifts, loans, and travel payments, from sources that:
- (a) Are subject to the regulatory, certification, registration, or licensing authority of

the Division of Recycling;

- (b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Division of Recycling;
- (c) Are of the type that receive grants, financial or in-kind assistance, or other payments from the Division of Recycling; and/or
- (d) Are the subject of collection activity by the Division of Recycling.

Category 6:

A designated employee in this category must report investments and business positions in business entities, and income, including gifts, loans, and travel payments, from sources that:

- (a) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department; and/or
- (b) Are of the type that receive grants, financial or in-kind assistance, or other payments from the Department.

Category 7:

A designated employee in this category must report all investments, business positions in business entities, and income, including gifts, loans, and travel payments, from sources that provide goods, equipment, hardware, software, facilities, supplies, training, consulting, or services related to information technology, geographical information systems, electronic records storage, audio, video, multimedia, and telecommunications, of the type used by the Department.