California Code of Regulations
Title 14, Division 7, Chapter 5.9

Department of Resources Recycling and Recovery (CalRecycle)
Conflict of Interest Code

NOTE: It having been found, pursuant to Government Code section 11344, that the printing of the regulations constituting the Conflict of Interest Code is impractical and being of limited and particular application, these regulations are not published in full in the California Code of Regulations. The regulations are available to the public for review or purchase at cost at the following locations:

DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY (CalRecycle)
801 K Street, MS 19-01
Sacramento, CA 95814

FAIR POLITICAL PRACTICES COMMISSION
428 J STREET, SUITE 800
SACRAMENTO, CA 95814

ARCHIVES
SECRETARY OF STATE
1020 "O" STREET
SACRAMENTO, CA 95814

The Conflict of Interest Code is designated as Chapter 5.9, Division 7 of Title 14, of the California Code of Regulations, and consists of sections numbered and titled as follows:

Chapter 5.9	Department of Resources Recycling and Recovery
Section 18419	General Provisions Appendix A – Designated Positions and Assigned Disclosure Categories Appendix B – Disclosure Categories

Note: Authority cited: Section 87300 et seq., Government Code.
Reference: Section 87300 et seq., Government Code.

Department of Resources Recycling and Recovery
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§ 18419. General Provisions.

The Political Reform Act (Government Code Sections 81000,et seq.), requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Department of Resources Recycling and Recovery (Department).

Individuals holding designated positions shall file statements of economic interests with the Department. Upon receipt of the statements of the Director and members of Boards and Commissions, the Department shall make and retain a copy and forward the originals of these statements to the Fair Political Practices Commission. Statements for all other designated positions will be retained by the Department, which shall make them available for public inspection and reproduction. (Government Code Section 81008.)

Note: Authority cited: Sections 81008, 87300 and 87306, Government Code.
Reference: Section 87300 et seq., Government Code.

APPENDIX A

DESIGNATED POSITIONS AND
ASSIGNED DISCLOSURE CATEGORIES

Individuals in the following positions are hereby required to file statements of economic interests in accordance with the disclosure categories indicated.

EXECUTIVE

Director	1 and 2
Chief Deputy Director	1 and 2
AssistantDeputy Director	1 and 2
All Exempt and Career Executive Assignment Positions	1 and 2

LEGAL OFFICE

Attorneys (All positions, classifications, and series)	1 and 2

LEGISLATIVE AND EXTERNAL AFFAIRS OFFICE

Deputy Director	1 and 2

All individuals in the following Legislative and External Affairs Office classifications or series:

Associate Governmental Program Analyst	2
Staff Services Analyst	2
Staff Services Manager	2
Research Program Specialist	2

PUBLIC AFFAIRS OFFICE

Deputy Director	1 and 2

All individuals in the following Public Affairs Office classifications or series:

Information Officer I (Specialist and Supervisor)	76
Information Officer II	76
Staff Services Analyst	76
Associate Governmental Program Analyst	76
Graphic Designer	76
Recycling Specialist	76

POLICY DEVELOPMENT AND ANALYSIS OFFICE

Deputy Director	1 and 2

All individuals in the following Policy Development and Analysis Office classifications or series:

Integrated Waste Management Specialist	2
Research Analyst	2

AUDITS OFFICE

All individuals in the following Audits Office classifications or series:

Management Auditor	2
Associate Governmental Program Analyst	2

OFFICE OF EDUCATION AND THE ENVIRONMENT

Deputy Director	1 and 2

All individuals in the following Office of Education and the Environment classifications or series:

Integrated Waste Management Specialist	7
Associate Governmental Program Analyst	7

ADMINISTRATION, AND FINANCE AND INFORMATION TECHNOLOGY SERVICES DIVISION

All Exempt Positions and Career Executive Assignments	1 and 2
Deputy Director	1 and 2

All individuals in the following Administration, and Finance and Information Technology Services Division classifications or series:

Research Analyst	76
Staff Services Manager	76
Staff Services Analyst	76
Integrated Waste Management Specialist	76
Environmental Scientist	7
Associate Governmental Program Analyst	76
Labor Relations Specialist	7
Associate Budget Analyst	76
Management Auditor	76
Associate Business Management Analyst	76
Accounting Officer	76
Accounting Analyst	7
Accounting Administrator	76
Business Services Officer	76
Training Officer	7
Industrial Hygienist	7
Recycling Specialist	7
Chief of Information Technology	7
Information Systems Analyst	87
Data Processing Manager	87
Programmer Analyst	87
Systems Software Specialist	87
Staff Services Manager (Information Technology Services Branch)	8
Recycling Specialist (Information Technology Services Branch)	8

WASTE MANAGEMENT DIVISIONMATERIALS MANAGEMENT AND LOCAL ASSISTANCE DIVISION

All Exempt Positions and Career Executive Assignments	1 and 3
Deputy Director	1 and 3
Assistant Director	1 and 3
Member, Recycling Market Development Zone Loan Committee	1 and 3

All individuals in the following Waste Management DivisionMaterials Management and Local Assistance Division classifications or series:

Integrated Waste Management Specialist	3 and 4
Recycling Specialist	3 and 4
Staff Services Manager	3 and 4
General Auditor III	3 and 4
Staff Services Analyst	3 and 4
Associate Governmental Program Analyst	3 and 4
Waste Management Engineer	3 and 4
Loan Officer	3 and 4
Engineering Geologist	3 and 4
Research Manager	3 and 4
Research Program Specialist	3 and 4
Research Analyst	3 and 4
Industrial Hygienist	3 and 4
Architect	3

WASTE PERMITTING, COMPLIANCE AND MITIGATION DIVISION

All Exempt and Career Executive Assignment Positions	1 and 5
Deputy Director	1 and 5

All individuals in the following Waste Permitting, Compliance and Mitigation Division classifications or series:

Integrated Waste Management Specialist	4 and 5
Recycling Specialist	4 and 5
Staff Services Manager	4 and 5
Staff Services Analyst	4 and 5
Associate Governmental Program Analyst	4 and 5
Waste Management Engineer	4 and 5
Engineering Geologist	4 and 5
Research Program Specialist	4 and 5
Research Analyst	4 and 5

DIVISION OF RECYCLING

All Exempt and Career Executive Assignment Positions	1 and 6
Deputy Assistant Director	1 and 65
Deputy Chief	1 and 5
All Managers and Supervisors	1 and 65

All individuals in the following Division of Recycling classifications or series:

Environmental Scientist (non-supervisory)	5
Management Services Technician	5
Staff Services Analyst	65
Associate Governmental Program Analyst	65
Recycling Specialist (non-supervisory)	65
Management Auditor	65
Research Analyst	5
Research Program Specialist	65

Department-wide

Consultants/New Positions	*

* Consultants and new positions are designated positions for the purposes of these provisions and shall disclose pursuant to the broadest disclosure category in the code (disclosure categories 1 and 2), subject to the following limitation:

> The Department's Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant’s or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)

APPENDIX B

DISCLOSURE CATEGORIES

Category 1: Individuals holding positions assigned to this category must report all interests in real property in the State of California.

Category 2: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Department;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Department; and/or

(d) Are the subject of collection activity by the Department.

Category 3: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Division of Waste Management Materials Management and Local Assistance Division;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Division of Waste Management Materials Management and Local Assistance Division;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Division of Waste Management Materials Management and Local Assistance Division; and/or

(d) Are the subject of collection activity by the Division of Waste Management Materials Management and Local Assistance Division.

Category 4: Individuals holding positions assigned to this category must report interests in real property located within two miles of the boundaries of any disposal facility, resource recovery facility, transfer/processing station, transformation facility, waste tire facility, solid waste enterprise or composting facility that is subject to the inspection duties and authority of the position.

Category 5: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Waste Permitting, Compliance and Mitigation Division;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Waste Permitting, Compliance and Mitigation Division;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Waste Permitting, Compliance and Mitigation Division; and/or

(d) Are the subject of collection activity by the Waste Permitting, Compliance and Mitigation Division.

Category 65: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Division of Recycling;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Division of Recycling;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Division of Recycling; and/or

(d) Are the subject of collection activity by the Division of Recycling.

Category 76: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department; and/or

(b) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Department.

Category 87: Individuals holding positions assigned to this category must report all investments, business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that provide goods, equipment, hardware, software, facilities, supplies, training, consulting, or services related to information technology, geographical information systems, electronic records storage, audio, video, multimedia, and telecommunications, of the type used by the Department.