California Code of Regulations
Title 14, Division 7, Chapter 5.9

Department of Resources Recycling and Recovery (CalRecycle)
Conflict of Interest Code

NOTE: It having been found, pursuant to Government Code section 11344, that the printing of the regulations constituting the Conflict of Interest Code is impractical and being of limited and particular application, these regulations are not published in full in the California Code of Regulations. The regulations are available to the public for review or purchase at cost at the following locations:

DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY (CalRecycle)
801 K Street, MS 19-01
Sacramento, CA 95814

FAIR POLITICAL PRACTICES COMMISSION
428 J STREET, SUITE 800
SACRAMENTO, CA 95814

ARCHIVES
SECRETARY OF STATE
1020 "O" STREET
SACRAMENTO, CA 95814

The Conflict of Interest Code is designated as Chapter 5.9, Division 7 of Title 14, of the California Code of Regulations, and consists of sections numbered and titled as follows:

Chapter 5.9	Department of Resources Recycling and Recovery
Section 18419	General Provisions Appendix A – Designated Positions and Assigned Disclosure Categories Appendix B – Disclosure Categories

Note: Authority cited: Section 87300 et seq., Government Code.
Reference: Section 87300 et seq., Government Code.

Department of Resources Recycling and Recovery
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§ 18419. General Provisions.

The Political Reform Act (Government Code Sections 81000,et seq.), requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Department of Resources Recycling and Recovery (Department).

Individuals holding designated positions shall file statements of economic interests with the Department. Upon receipt of the statement~~s~~ of the Director~~ and members of Boards and Commissions~~, the Department shall make and retain a copy and forward the original~~s of these statements~~ to the Fair Political Practices Commission. Statements for all other designated positions will be retained by the Department, which shall make them available for public inspection and reproduction. (Government Code Section 81008.)

Note: Authority cited: Sections 81008, 87300 and 87306, Government Code.
Reference: Section 87300 et seq., Government Code.

APPENDIX A

DESIGNATED POSITIONS AND
ASSIGNED DISCLOSURE CATEGORIES

Individuals in the following positions are hereby required to file statements of economic interests in accordance with the disclosure categories indicated.

POSITION	DISCLOSURE CATEGORY
EXECUTIVE	
Director	1 and 2
Chief Deputy Director	1 and 2
Deputy Director	1 and 2
All Exempt and Career Executive Assignment Positions	1 and 2
All individuals in the following Executive Office classifications or series:	
Staff Services Manager	1 and 2
LEGAL OFFICE	
Attorneys (All positions, classifications, and series)	1 and 2
Management Auditor	1 and 2
LEGISLATIVE AND EXTERNAL AFFAIRS OFFICE	
Deputy Director	1 and 2
All individuals in the following Legislative and External Affairs Office classifications or series:	
Associate Governmental Program Analyst	2
Staff Services Manager	2
Research Program Specialist	2
PUBLIC AFFAIRS OFFICE	
Deputy Director	1 and 2
All individuals in the following Public Affairs Office classifications or series:	
Information Officer I (Specialist and Supervisor)	7
Information Officer II	7
Staff Services Analyst	7
Associate Governmental Program Analyst	7
Graphic Designer	7
Recycling Specialist	7

POLICY DEVELOPMENT AND ANALYSIS OFFICE

Deputy Director	1 and 2

All individuals in the following Policy Development and Analysis Office classifications or series:

Integrated Waste Management Specialist	2
Environmental Scientist	2
Research Analyst	2

AUDITS OFFICE

All individuals in the following Audits Office classifications or series:

Management Auditor	2
Associate Governmental Program Analyst	2

OFFICE OF EDUCATION AND THE ENVIRONMENT

Deputy Director	1 and 2

All individuals in the following Office of Education and the Environment classifications or series:

Integrated Waste Management Specialist	7
Environmental Scientist	7
Staff Services Manager	7
Associate Governmental Program Analyst	7

ADMINISTRATION, FINANCE AND INFORMATION TECHNOLOGY SERVICES DIVISION

All Exempt Positions and Career Executive Assignments	1 and 2
Deputy Director	1 and 2

All individuals in the following Administration, Finance and Information Technology Services Division classifications or series:

Research Analyst	7
Staff Services Manager	7
Staff Services Analyst	7
~~Integrated Waste Management Specialist~~	~~7~~
Environmental Scientist	7
Associate Governmental Program Analyst	7
Executive Assistant	7
Labor Relations Specialist	7
Associate Budget Analyst	7
~~Management Auditor~~	~~7~~
Associate Business Management Analyst	7
Accounting Officer	7
Accounting Analyst	7
Accounting Administrator	7
Business Services Officer	7
Training Officer	7
Industrial Hygienist	7
Recycling Specialist	7
Information Systems Analyst	8
~~Data Processing Manager~~	~~8~~
Programmer Analyst	8
Systems Software Specialist	8
~~Staff Services Manager (Information Technology Services Branch)~~	~~8~~
~~Recycling Specialist (Information Technology Services Branch)~~	~~8~~

MATERIALS MANAGEMENT AND LOCAL ASSISTANCE DIVISION

All Exempt Positions and Career Executive Assignments	1 and 3
Deputy Director	1 and 3
Member, Recycling Market Development Zone Loan Committee	1 and 3

All individuals in the following Materials Management and Local Assistance Division classifications or series:

Integrated Waste Management Specialist	3 and 4
Environmental Scientist	3 and 4
Recycling Specialist	3 and 4
Staff Services Manager	3 and 4
General Auditor III	3 and 4
Staff Services Analyst	3 and 4
Associate Governmental Program Analyst	3 and 4
Waste Management Engineer	3 and 4
Loan Officer	3 and 4
Engineering Geologist	3 and 4
Research Program Specialist	3 and 4

WASTE PERMITTING, COMPLIANCE AND MITIGATION DIVISION

All Exempt and Career Executive Assignment Positions	1 and 5
Deputy Director	1 and 5

All individuals in the following Waste Permitting, Compliance and Mitigation Division classifications or series:

Integrated Waste Management Specialist	4 and 5
Environmental Scientist	4 and 5
~~Recycling Specialist~~	~~4 and 5~~
Staff Services Manager	4 and 5
Staff Services Analyst	4 and 5
Associate Governmental Program Analyst	4 and 5
Waste Management Engineer	4 and 5
Engineering Geologist	4 and 5
Research Program Specialist	4 and 5
Research Analyst	4 and 5

DIVISION OF RECYCLING

All Exempt and Career Executive Assignment Positions	1 and 6
Assistant Director	1 and 6
All Managers and Supervisors	1 and 6

All individuals in the following Division of Recycling classifications or series:

Staff Services Analyst	6
Associate Governmental Program Analyst	6
Recycling Specialist (non-supervisory)	6
Management Auditor	6
Research Program Specialist	6
Research Analyst	6
Questioned Document Examiner	6

Department-wide

Consultants/New Positions	*

* Consultants and new positions are designated positions for the purposes of these provisions and shall disclose pursuant to the broadest disclosure category in the code (disclosure categories 1 and 2), subject to the following limitation:

> The Department's Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant’s or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)

APPENDIX B

DISCLOSURE CATEGORIES

Category 1: Individuals holding positions assigned to this category must report all interests in real property in the State of California.

Category 2: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Department;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Department; and/or

(d) Are the subject of collection activity by the Department.

Category 3: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Materials Management and Local Assistance Division;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Materials Management and Local Assistance Division;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Materials Management and Local Assistance Division; and/or

(d) Are the subject of collection activity by the Materials Management and Local Assistance Division.

Category 4: Individuals holding positions assigned to this category must report interests in real property located within two miles of the boundaries of any disposal facility, resource recovery facility, transfer/processing station, transformation facility, waste tire facility, solid waste enterprise or composting facility that is subject to the inspection duties and authority of the position.

Category 5: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Waste Permitting, Compliance and Mitigation Division;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Waste Permitting, Compliance and Mitigation Division;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Waste Permitting, Compliance and Mitigation Division; and/or

(d) Are the subject of collection activity by the Waste Permitting, Compliance and Mitigation Division.

Category 6: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Are subject to the regulatory, certification, registration, or licensing authority of the Division of Recycling;

(b) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Division of Recycling;

(c) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Division of Recycling; and/or

(d) Are the subject of collection activity by the Division of Recycling.

Category 7: Individuals holding positions assigned to this category must report investments and business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that:

(a) Provide goods, equipment, vehicles, machinery, leased facilities, or services, including training or consulting services, of the type used by the Department; and/or

(b) Are of the type that receive grants, financial or in-kind assistance, or other payments from or through the Department.

Category 8: Individuals holding positions assigned to this category must report all investments, business positions in business entities, and income, including the receipt of gifts, loans, and travel payments, from sources that provide goods, equipment, hardware, software, facilities, supplies, training, consulting, or services related to information technology, geographical information systems, electronic records storage, audio, video, multimedia, and telecommunications, of the type used by the Department.