

GENERAL CHECKLIST OF BUSINESS PERMITS, LICENSES AND FILINGS

| | | |
|------------------------------|-----------------------------|------------------------|
| GRANT APPLICANT/GRANTEE NAME | | |
| GRANT NAME AND CYCLE | GRANT NUMBER, IF APPLICABLE | DATE SUBMITTED/UPDATED |

Mark (✓ or X) appropriate box on each line below. All lines must be completed.

Note: This list is not all-inclusive. Grant Applicant/Grantee must list other critical permits/licenses/filings not identified below.

| | | | | |
|---|--------------------------|--------------------------|--|---------------------------------|
| Grant Applicant/Grantee currently holds this valid permit/license/filing | | | | |
| Grant Applicant/Grantee will modify and/or obtain this permit/license/filing | | | | |
| This permit/license/filing is not applicable for this grant project or business | | | | |
| | | | LOCAL PERMITS, LICENSES & FILINGS | REGULATOR OR ISSUER |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Authority to Construct/Permit to Operate | Air Quality Management District |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Building Construction Permit | City or County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Business License | City or County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Fictitious Business Name Filing | County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Fire Department Permit | City or County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Land Use Permit/Zoning Clearance/Conditional Use Permit | City or County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Permit By Rule (PBR) for Permanent HHW Facilities or Temporary Collection Events | City, County or Cal/EPA-DTSC |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Planning Department Permit | City or County |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Waste Hauler Permit | City or County |
| | | | STATE PERMITS, LICENSES & FILINGS | |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Antifreeze, Battery, Oil & Paint (ABOP) Notification | CUPA or Cal/EPA-DTSC |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Corporate, Company or Partnership Filings | Ca. Secretary of State |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Hazardous Waste Haulers Permit | Cal/EPA – DTSC |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Industrial Activities Storm Water General Permit | Cal/EPA – SWRCB |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Non-Profit Organization 501 (C) (3) | Ca. Secretary of State |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Prop. 65 Safe Drinking Water & Toxic Enforcement Act | Cal/EPA – OEHHA |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Solid Waste Facilities Permit/Enforcement Agency Notification | Cal/EPA – CalRecycle/LEA |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | State EPA Identification Number | Cal/EPA – DTSC |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Waste and Used Tire Hauler Registration | Cal/EPA – CalRecycle |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Waste Discharge Requirements | Cal/EPA – SWRCB |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Waste Tire Facilities Permit | Cal/EPA – CalRecycle |
| | | | FEDERAL PERMITS, LICENSES & FILINGS | |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | US EPA – Identification Number | US EPA |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | US EPA – NPDES and/or NSR Permits | US EPA |
| | | | OTHER PERMITS, LICENSES & FILINGS | |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

Submit copies of the permits/licenses/filings with this form ONLY if required by the Grant Program. Please retain all permits/licenses/filings in grant file for audit purposes.

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Comments/Notes:

Mark (✓ or X) appropriate box below.

| | |
|--------------------------|--|
| <input type="checkbox"/> | PRIVATE ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) is in good standing and qualified to do business in the State; and 2) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 3) where compliance has not been met, I have attached a letter describing what has been done to achieve full compliance. |
| <input type="checkbox"/> | PUBLIC ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 2) where compliance has not been met, have attached a letter describing what has been done to achieve full compliance. |

Executed at: _____ on _____
City and State Date

| | | |
|----------|---|-----------------------------|
| X | | |
| | _____ <i>Signature Authority / Authorized Designee</i> <i>(as authorized in Resolution, Letter of Commitment, or Letter of Designation)</i> | _____ <i>Date</i> |
| | _____ <i>Print Name</i> | _____ <i>Print Title</i> |

Note: Falsification under penalty of perjury may result in criminal and civil penalties. In addition, pursuant to the terms of the grant agreement, any misrepresentations in the above certification shall constitute a breach of contract that could result in non-payment of grant funds to the grantee; relinquishment by the grantee of funds previously paid; termination of the grant; and/or placing the grantee on CalRecycle’s Unreliable Contractors List.